



# 2018

## Freedom of Information Act Annual Report to the Attorney General of the United States

The Department of Education's mission is to promote student achievement and preparation for global competitiveness by fostering educational excellence and ensuring equal access.



DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

FREEDOM OF INFORMATION ACT (FOIA) SERVICE CENTER



**U.S. DEPARTMENT OF EDUCATION**  
**FREEDOM OF INFORMATION ACT ANNUAL**  
**REPORT FOR FISCAL YEAR 2018**

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## I. BASIC INFORMATION REGARDING REPORT

1. The person to contact with questions about this report is:  
Gregory Smith, Director, FOIA Service Center Office of the  
Chief Privacy Officer  
Office of Management  
United States Department of Education 400  
Maryland Ave, SW, LBJ 7C102 Washington, DC  
20202-4503
2. The Internet address for this report on the World Wide Web (www) is:  
<http://www2.ed.gov/policy/gen/leg/foia/foiatoc.html>
3. To obtain a copy of this report in paper form contact the person identified in #1 above.

## II. MAKING A FOIA REQUEST

1. Names, Addresses, and Contact Numbers for the Department of Education (ED) Freedom of Information Act (FOIA) Officers, FOIA Analysts, and Regional FOIA Coordinators. FOIA requests should be submitted directly to the FOIA Requester Service Center or, if known, to the Regional FOIA Coordinators.

### **Chief FOIA Officer**

Denise Carter, (202) 205-8770  
**FOIA Requester Service Center**

U.S. Department of Education  
400 Maryland Avenue, SW  
LBJ 7C102  
Washington, DC 20202-4503  
(202) 401-8365

### **FOIA Analysts**

Elise Cook Shahanga Lee  
Christie Swafford

Tiffany Tucker

**FOIA Team Lead:** Robert Wehausen

**FOIA Appeals Coordinator:** Arthur Caliguiran

**Acting Chief Privacy Officer:** Jessica Ramakis

Region I: OCR Boston Office  
FOIA Coordinator; McCormack Post Office & Courthouse, Boston,  
MA 02109;  
(617) 289-0013

Region II: OCR New York Office  
FOIA Coordinator; 75 Park Place, New York, NY 10007;  
(646) 428-3811

Region III: OCR Philadelphia Office  
FOIA Coordinator; 100 Penn Square East, Philadelphia, PA 19107;  
(215) 656-8557

Region IV: OCR Atlanta Office  
FOIA Coordinator; 61 Forsyth Street, SW, Atlanta, GA 30303;  
(404) 562-6357

Region V: OCR Chicago Office  
FOIA Coordinator; 111 North Canal Street, Chicago, IL 60606;  
(312) 730-1610

Region VI: OCR Dallas Office  
FOIA Coordinator; 1999 Bryan Street, Dallas, TX 75201;  
(214) 661-9623

Region VII: OCR Kansas City Office  
FOIA Coordinator; 10220 N. Executive Hills Boulevard, Kansas City, MO 64153;  
(816) 268-0594

Region VIII: OCR Denver Office  
FOIA Coordinator; 1244 Speer Boulevard, Denver, CO 80204;  
(303) 844-5942

Region IX: OCR San Francisco Office  
FOIA Coordinator; 50 United Nations Plaza, San Francisco, CA 94102;  
(415) 486-5507

Region X: OCR Seattle Office  
FOIA Coordinator; 915 Second Avenue, Seattle, WA 98174;  
(206) 220-7946

Metro Region: OCR District of Columbia Office  
FOIA Coordinator; P.O. Box 14620; Washington, D.C. 20044-4620;  
(202) 786-0521

Region XII: OCR Cleveland Office  
FOIA Coordinator; 600 Superior Avenue East, Suite 750, Cleveland, OH 4114-2611;  
(216) 522-4468

2. Information on how to make a FOIA Request and on how to make a Privacy Act request is available from the ED FOIA website: <http://www.ed.gov/policy/gen/leg/foia/foiatoc.html>. Responsive records are released in their entirety unless release is precluded on the basis of nine statutory exemptions, as specified in the FOIA. In general, as a regulatory agency, some

investigative records or portions of records are not released. In addition, if records are part of an ongoing investigation or involved with litigation they may not be released or are only partially released. Personally identifiable information is also not released to anyone except the individual to whom it applies. Certain information contained in grants and contracts is withheld such as non-federal funding amounts and sources, business proposals, and technical information unique to the submitter that falls within protected business information.

### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS:

#### 1. Agency-specific acronyms or terms used:

- a. **The Department:** Congress established the U.S. Department of Education (ED) on May 4, 1980, in the Department of Education Organization Act (Public Law 96-88 of October 1979). Under this law, ED's mission is to: Strengthen the Federal commitment to assuring access to equal educational opportunity for every individual; Supplement and complement the efforts of states, the local school systems and other instrumentalities of the states, the private sector, public and private nonprofit educational research institutions, community-based organizations, parents, and students to improve the quality of education; Encourage the increased involvement of the public, parents, and students in Federal education programs; Promote improvements in the quality and usefulness of education through Federally supported research, evaluation, and sharing of information; Improve the coordination of Federal education programs; Improve the management of Federal education activities; and, Increase the accountability of Federal education programs to the President, the Congress, and the public.
- b. **Calendar Day** – Every day shown on a calendar. A 24-hour period beginning at 12:01 a.m. and ending at 12:00 p.m. midnight.
- c. **Fees** – an agency will charge processing fees based on how the documents will be used and not on the category of the FOIA requester. All fees allowed will be charged to the first requester, when there are multiple requesters for the same documents, and, unless waived, all subsequent requesters will be charged duplication and special services fees only. If a fee is not allowed by statute or regulation to be charged to the first requester, the fee cannot be passed on to the next subsequent requester.
- e. **First-In-First-Out (FIFO) Processing Policy** – an agency will process requests in the order in which requests are received consistent with a multi-track processing system and reasonable allocation of processing resources available for each track and the FOIA program of the agency.
- f. **FOIA/PA Request** – a FOIA request is generally a request or access to records concerning a third party, an organization, or a particular topic of interest. A Privacy Act request is a request for records concerning oneself; such requests are also treated as FOIA requests. (All requests for access to records, regardless of which law is cited by the requester, are included in this report.)
- g. **Glomar Response** – a Glomar response neither confirms nor denies the existence or non-existence of responsive records to a request in order to protect law enforcement, privacy, or other appropriate interests.
- h. **Initial Denial Authority** – agency official who is delegated the authority to make release determinations of documents and information contained in documents requested under the FOIA

on behalf of the agency.

- i. **Initial Request** – a request to a federal agency for access to records under the Freedom of Information Act.
- j. **Perjury Statement** – a signed (notarization is not required) statement executed under the penalty of law, usually associated with a request for the personal records of the requester, attesting that they are the individual who they say they are.
- k. **Proper Request** – a request that fits the definition of a FOIA request: reasonably describes the agency records being sought and cites a willingness to pay assessable fees or justifies the granting of a fee waiver. Proper requests do not use federal government resources in making the request – federal government requests for agency documents for official purposes are not FOIA requests.
- l. **Reasonably Described** – a request is reasonably described if it enables a professional agency employee familiar with the subject area to locate the record with a reasonable amount of effort. An appeal is reasonably described if it adequately describes the action taken by the agency regarding the request and the basis on why the action is not in compliance with the statute or agency regulation.
- m. **Referral** – transferring a FOIA request and/or document(s) that are under another agency's purview to another entity for processing. This also includes redirecting a requester to the appropriate agency instead of referring the request.
- n. **Release** – an agency decision to disclose all records in full in response to a FOIA request. This sometimes is referred to as a "grant."
- o. **Remanded** – a request that is returned to the initial denial authority for reconsideration of their release determination and further processing.
- p. **Request Disposition** – an agency determination to take one of four actions on requests for records:
  - i. Responsive records are released in full.
  - ii. Responsive records are released in part because some of the information in the requested records is determined by the agency to be exempt under one or more of the FOIA's exemptions.
  - iii. Responsive records are denied in full because all of the information in the requested records is determined by the agency to be exempt under one or more of the FOIA exemptions.
  - iv. Determined by the agency not to process for other procedural reasons:
    - (1) No Records – After a thorough search of agency records, no records were found to be responsive to the FOIA request or within the scope of the FOIA request.



- (2) Duplicate Request – The request is a duplicate of a previously submitted request by the same requester or organization represented by the requester.
  - (3) Fee Related – Request is not processed because there were fee issues. For example, the requester was not willing to pay assessable FOIA processing fees or the requester had delinquent fees from previous FOIA requests.
  - (4) Request Withdrawn – Requester withdraws their request.
  - (5) Not Reasonably Described – sufficient information has not been provided for a professional agency employee familiar with the subject area to locate the record with a reasonable amount of effort.
  - (6) Not a Proper Request – the requester is asking questions posing as a FOIA request or a response would require the agency to create a record.
  - (7) Not an Agency Record – Documents requested in a FOIA request that are not maintained, or possibly originated, by the Department or its components.
  - (8) Referred to an Appropriate Agency – request for records that are not held by a Department agency and are more likely the documents of some other federal agency other than the Department.
- q. **Working Days** – days except Saturdays, Sundays, and federally recognized public holidays.

## 2. Basic Terms Used in This Report and in FOIA Training:

- a. **Administrative Appeal** – a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** – for agencies that process requests on a decentralized basis, a component is an entity, also sometimes referred to as an office, division, bureau, center, or directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.



- f. **Exemption 3 Statute** – a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b) (3) of the FOIA.
- g. **FOIA Request** – a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a third-party request) or concerning an organization or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., first-party requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act systems of records or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests that require the agency to use the FOIA in responding to the requester are included in this report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this report.)
- h. **Full Grant** – an agency determination to disclose all records in full in response to a FOIA request.
- i. **Full Denial** – an agency determination not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions or because of a procedural reason such as when no records could be located.
- j. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-track Processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first-in/first-out basis. The tracks are:
  - i. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations;
  - ii. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of records requested; and
  - iii. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of records requested.
- l. **Partial Grant / Partial Denial** – in response to a FOIA request, an agency determination to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** – a request or administrative

appeal for which an agency has not taken final action in all respects.

- n. **Perfected Request** – a request for records that reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any), and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** – action on the request or the appeal in all respects has been completed.
- p. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** – the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

### 3. The Nine FOIA Exemptions:

- r. **Exemption 1:** classified national defense and foreign relations information;
- s. **Exemption 2:** internal agency rules and practices;
- t. **Exemption 3:** information that is prohibited from disclosure by another federal law;
- u. **Exemption 4:** trade secrets and other confidential business information;
- v. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges;
- w. **Exemption 6:** information involving matters of personal privacy;
- x. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual;
- y. **Exemption 8:** information relating to the supervision of financial institutions; and
- z. **Exemption 9:** geological information on wells

#### 4. Principal Offices (POs)

In FY2018, ED had the following program offices and/or processing designations:

| Component Abbreviation | Component Name   |
|------------------------|--|
| OCFO-CAM               | OCFO-Contracts and Acquisitions Management             |
| FSA                    | Federal Student Aid                                    |
| IES                    | Institute of Education Sciences                        |
| M                      | Multiple Office Assigned                               |
| IES-NAGB               | IES-National Assessment Governing Board                |
| OCFO                   | Office of the Chief Financial Officer                  |
| OCIO                   | Office of the Chief Information Officer                |
| OCO                    | Office of Communication and Outreach                   |
| OCR                    | Office for Civil Rights (Headquarters)                 |
| OCR - Metro D.C.       | OCR District of Columbia                               |
| OCR - 1 Boston         | OCR Region I - Boston                                  |
| OCR - 10 Seattle       | OCR Region X - Seattle                                 |
| OCR - 12 Cleveland     | OCR Region XII - Cleveland                             |
| OCR - 2 New York       | OCR Region II - New York                               |
| OCR - 3 Philadelphia   | OCR Region III - Philadelphia                          |
| OCR - 4 Atlanta        | OCR Region IV - Atlanta                                |
| OCR - 5 Chicago        | OCR Region V - Chicago                                 |
| OCR - 6 Dallas         | OCR Region VI - Dallas                                 |
| OCR - 7 Kansas City    | OCR Region VII - Kansas City                           |
| OCR - 8 Denver         | OCR Region VIII - Denver                               |
| OCR - 9 San Francisco  | OCR Region IX - San Francisco                          |
| OCTAE                  | Office of Career, Technical & Adult Education          |
| ODS                    | Office of the Deputy Secretary                         |
| OESE                   | Office of Elementary and Secondary Education           |
| OGC                    | Office of the General Counsel                          |
| OIG                    | Office of Inspector General                            |
| OII                    | Office of Innovation and Improvement                   |
| OLCA                   | Office of Legislative and Congressional Affairs        |
| OM                     | Office of Management                                   |
| OM-OCPO                | OM - Office of the Chief Privacy Officer               |
| OPE                    | Office of Postsecondary Education                      |
| OPEPD                  | Office of Planning, Evaluation, and Policy Development |
| OS                     | Office of the Secretary                                |

| Component Abbreviation | Component Name  |
|------------------------|---|
| OSERS                  | Office of Special Education and Rehabilitative Services |
| OUS                    | Office of the Under Secretary                           |

#### IV. EXEMPTION 3 STATUTES

| Statute   | Type of Information Withheld   | Case Citation  | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
|---|--|--|--------------------|---|---|
| 41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702)    | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).<br>41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))*<br>(Procurement Integrity Act)<br>Contractor bid or proposal information; source selection information<br>Legal & | FSA                | 3   | 3   |
| 5 U.S.C. app. § 105                                       | Financial disclosure reports and applications to inspect financial disclosure reports  | N/A  | M<br>OGC           | 1<br>2  | 3   |
| 5 U.S.C. app. § 107(a) (Ethics in Government Act of 1978) | Financial disclosure information pertaining to certain government employees  | Meyerhoff v. EPA, 958 F.2d 1498, 1500-02 (9th Cir. 1992); Concepcion v. FBI, 606 F. Supp. 2d 14, 33 (D.D.C. 2009), renewed motion for summary judgement granted, 699 F. Supp. 2d 106 (D.D.C. 2010); Glascoe v. DOJ, No. 04-0486, 2005 WL 1139269, at *1 (D.D.C. May 15, 2005).   | M<br>OGC           | 1<br>5  | 6   |

| Statute  | Type of Information Withheld  | Case Citation  | Agency / Component      | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
|--|---|--|-------------------------|---|---|
| 41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act) | Contractor bid or proposal information; source selection  | Legal & Safety Employer Research, Inc. v. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum). | FSA<br>IES-NAGB         | 1<br>1  | 2   |
| 31 U.S.C. § 3730(b)(2)   | Records pertaining to civil actions for false claims against the United States Government   | N/A  | OIG                     | 1   | 1   |
| 20 U.S.C. § 1099c-1  | Certain program review reports and institutional responses until the Department of Education issues a final program review determination. | Bagwell v. U.S. Dep't of Educ., 183 F. Supp. 3d 109, 126-27 (D.D.C. 2016).   | FSA<br>M<br>OCR         | 3<br>2<br>1                                       | 6   |
| 5 U.S.C. § 574(j)  | Dispute resolution communications   | N/A  | FSA<br>OCR – 10 Seattle | 1<br>1  | 2   |
| 41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act) | Contractor bid or proposal information; source selection information  | Legal & Safety Employer Research, Inc. v. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum). | FSA                     | 2   | 2   |

## INITIAL FOIA/PA ACCESS REQUESTS

### V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA/PA REQUESTS

| Agency / Component    | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
|-----------------------|---|--|---|---|
| OCFO-CAM              | 4   | 23   | 21  | 6   |
| FSA                   | 136   | 291  | 234   | 193   |
| IES                   | 2   | 41   | 39  | 4   |
| M                     | 136   | 219  | 204   | 151   |
| IES-NAGB              | 0   | 1  | 1   | 0   |
| OCFO                  | 3   | 8  | 10  | 1   |
| OCIO                  | 3   | 6  | 7   | 2   |
| OCO                   | 11  | 24   | 21  | 14  |
| OCR                   | 102   | 260  | 239   | 123   |
| OCR - Metro D.C.      | 22  | 125  | 128   | 19  |
| OCR - 1 Boston        | 84  | 123  | 163   | 44  |
| OCR - 10 Seattle      | 4   | 98   | 100   | 2   |
| OCR - 12 Cleveland    | 19  | 53   | 71  | 1   |
| OCR - 2 New York      | 41  | 197  | 177   | 61  |
| OCR - 3 Philadelphia  | 2   | 96   | 97  | 1   |
| OCR - 4 Atlanta       | 19  | 101  | 100   | 20  |
| OCR - 5 Chicago       | 21  | 157  | 161   | 17  |
| OCR - 6 Dallas        | 8   | 100  | 91  | 17  |
| OCR - 7 Kansas City   | 6   | 61   | 51  | 16  |
| OCR - 8 Denver        | 7   | 89   | 86  | 10  |
| OCR - 9 San Francisco | 1   | 123  | 121   | 3   |
| OCTAE                 | 0   | 1  | 1   | 0   |
| ODS                   | 0   | 7  | 2   | 5   |
| OESE                  | 9   | 78   | 56  | 31  |
| OGC                   | 13  | 37   | 27  | 23  |
| OIG                   | 4   | 53   | 51  | 6   |
| OII                   | 0   | 16   | 16  | 0   |
| OLCA                  | 0   | 9  | 7   | 2   |
| OM                    | 9   | 87   | 81  | 15  |



| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
|--------------------|---|--|---|---|
| OM-OCPO            | 24  | 255  | 245   | 34  |
| OPE                | 9   | 132  | 104   | 37  |
| OPEPD              | 0   | 0  | 0   | 0   |
| OS                 | 128   | 130  | 111   | 147   |
| OSERS              | 6   | 30   | 34  | 2   |
| OUS                | 15  | 10   | 1   | 24  |
| AGENCY OVERALL     | 848   | 3,041                                      | 2,858                                       | 1,031   |

*The discrepancy in the number of pending requests in the beginning of fiscal year for the components is due to how cases are tracked within our case management system. Example: a request initially assigned to Office "A" in FY17 is later assigned to Office "B" would show as a pending case for Office "A" at the end of FY17, but when Office "B" closes the case in FY18, the report will show Office "B" as the pending office at the start of FY17 rather than Office "A".*

Number of Full Denials Based on Exemptions

## V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

| Agency / Component   | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other *Explain in Chart Below | TOTAL |
|----------------------|-----------------------|--|--|------------|---|-------------------|--------------------|----------------------------------|--|-------------------|-------------------|-------------------------------|-------|
| OCFO-CAM             | 3                     | 13   | 0  | 2          | 0   | 1                 | 1                  | 0                                | 0                                      | 0                 | 1                 | 0                             | 21    |
| FSA                  | 79                    | 74   | 26   | 32         | 0   | 7                 | 1                  | 2                                | 0                                      | 0                 | 10                | 3                             | 234   |
| IES                  | 9                     | 14   | 0  | 13         | 0   | 3                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 39    |
| M                    | 35                    | 92   | 3  | 28         | 0   | 20                | 0                  | 8                                | 0                                      | 0                 | 2                 | 16                            | 204   |
| IES-NAGB             | 0                     | 1  | 0  | 0          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 1     |
| OCFO                 | 4                     | 1  | 0  | 4          | 0   | 0                 | 0                  | 1                                | 0                                      | 0                 | 0                 | 0                             | 10    |
| OCIO                 | 5                     | 2  | 0  | 0          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 7     |
| OCO                  | 8                     | 4  | 0  | 9          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 21    |
| OCR                  | 57                    | 139  | 3  | 10         | 0   | 17                | 0                  | 4                                | 0                                      | 0                 | 8                 | 1                             | 239   |
| OCR - Metro D.C.     | 4                     | 108  | 1  | 7          | 0   | 6                 | 0                  | 1                                | 0                                      | 0                 | 1                 | 0                             | 128   |
| OCR - 1 Boston       | 8                     | 126  | 6  | 5          | 0   | 14                | 0                  | 1                                | 0                                      | 0                 | 3                 | 0                             | 163   |
| OCR - 10 Seattle     | 10                    | 73   | 6  | 5          | 0   | 3                 | 0                  | 1                                | 0                                      | 0                 | 2                 | 0                             | 100   |
| OCR - 12 Cleveland   | 4                     | 45   | 8  | 3          | 0   | 3                 | 1                  | 4                                | 0                                      | 0                 | 3                 | 0                             | 71    |
| OCR - 2 New York     | 5                     | 143  | 6  | 3          | 0   | 8                 | 0                  | 1                                | 0                                      | 1                 | 10                | 0                             | 177   |
| OCR - 3 Philadelphia | 13                    | 75   | 1  | 5          | 0   | 2                 | 0                  | 0                                | 0                                      | 0                 | 1                 | 0                             | 97    |
| OCR - 4 Atlanta      | 7                     | 67   | 12   | 3          | 0   | 5                 | 0                  | 3                                | 0                                      | 0                 | 3                 | 0                             | 100   |
| OCR - 5 Chicago      | 16                    | 131  | 4  | 1          | 0   | 6                 | 1                  | 2                                | 0                                      | 0                 | 0                 | 0                             | 161   |

| Agency / Component    | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other *Explain in Chart Below | TOTAL |
|-----------------------|-----------------------|--|--|------------|---|-------------------|--------------------|----------------------------------|--|-------------------|-------------------|-------------------------------|-------|
| OCR - 6 Dallas        | 5                     | 66   | 8  | 3          | 0   | 4                 | 0                  | 1                                | 0                                      | 0                 | 4                 | 0                             | 91    |
| OCR - 7 Kansas City   | 3                     | 43   | 3  | 1          | 0   | 0                 | 1                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 51    |
| OCR - 8 Denver        | 9                     | 43   | 18   | 5          | 0   | 3                 | 0                  | 5                                | 0                                      | 0                 | 3                 | 0                             | 86    |
| OCR - 9 San Francisco | 6                     | 89   | 19   | 5          | 0   | 2                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 121   |
| OCTAE                 | 1                     | 0  | 0  | 0          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 1     |
| ODS                   | 1                     | 1  | 0  | 0          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 2     |
| OESE                  | 11                    | 31   | 0  | 9          | 0   | 4                 | 1                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 56    |
| OGC                   | 2                     | 13   | 1  | 4          | 0   | 3                 | 0                  | 1                                | 0                                      | 1                 | 2                 | 0                             | 27    |
| OIG                   | 2                     | 23   | 6  | 15         | 0   | 0                 | 0                  | 1                                | 0                                      | 0                 | 4                 | 0                             | 51    |
| OII                   | 10                    | 3  | 0  | 3          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 16    |
| OLCA                  | 0                     | 4  | 0  | 2          | 0   | 0                 | 0                  | 1                                | 0                                      | 0                 | 0                 | 0                             | 7     |
| OM                    | 29                    | 35   | 1  | 13         | 0   | 1                 | 0                  | 0                                | 0                                      | 0                 | 2                 | 0                             | 81    |
| OM-OCPO               | 12                    | 30   | 0  | 5          | 0   | 3                 | 0                  | 2                                | 0                                      | 192               | 1                 | 0                             | 245   |
| OPE                   | 14                    | 75   | 0  | 6          | 0   | 1                 | 1                  | 1                                | 0                                      | 2                 | 3                 | 1                             | 104   |
| OPEPD                 | 0                     | 0  | 0  | 0          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 0     |
| OS                    | 19                    | 62   | 2  | 22         | 0   | 3                 | 0                  | 1                                | 0                                      | 0                 | 1                 | 1                             | 111   |
| OSERS                 | 9                     | 21   | 0  | 4          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 0                             | 34    |
| OUS                   | 0                     | 0  | 0  | 0          | 0   | 0                 | 0                  | 0                                | 0                                      | 0                 | 0                 | 1                             | 1     |
| AGENCY OVERALL        | 400                   | 1,647                                      | 134  | 227        | 0   | 119               | 7                  | 41                               | 0                                      | 196               | 64                | 23                            | 2,858 |

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

| Agency / Component    | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|-----------------------|--|--|-------|
| FSA                   | Litigation   | 3  | 3     |
| M                     | Litigation   | 16   | 16    |
| OCR                   | Litigation   | 1  | 1     |
| OPE                   | Litigation   | 1  | 1     |
| OS                    | Litigation   | 1  | 1     |
| OUS                   | Litigation   | 1  | 1     |
| OCFO-CAM              | N/A  | 0  | 0     |
| IES                   | N/A  | 0  | 0     |
| IES-NAGB              | N/A  | 0  | 0     |
| OCFO                  | N/A  | 0  | 0     |
| OCIO                  | N/A  | 0  | 0     |
| OCO                   | N/A  | 0  | 0     |
| OCR - Metro D.C.      | N/A  | 0  | 0     |
| OCR - 1 Boston        | N/A  | 0  | 0     |
| OCR - 10 Seattle      | N/A  | 0  | 0     |
| OCR - 12 Cleveland    | N/A  | 0  | 0     |
| OCR - 2 New York      | N/A  | 0  | 0     |
| OCR - 3 Philadelphia  | N/A  | 0  | 0     |
| OCR - 4 Atlanta       | N/A  | 0  | 0     |
| OCR - 5 Chicago       | N/A  | 0  | 0     |
| OCR - 6 Dallas        | N/A  | 0  | 0     |
| OCR - 7 Kansas City   | N/A  | 0  | 0     |
| OCR - 8 Denver        | N/A  | 0  | 0     |
| OCR - 9 San Francisco | N/A  | 0  | 0     |
| OCTAE                 | N/A  | 0  | 0     |
| ODS                   | N/A  | 0  | 0     |
| OESE                  | N/A  | 0  | 0     |
| OGC                   | N/A  | 0  | 0     |
| OIG                   | N/A  | 0  | 0     |
| OII                   | N/A  | 0  | 0     |
| OLCA                  | N/A  | 0  | 0     |

| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|--|--|-------|
| OM                 | N/A  | 0  | 0     |
| OM-OCPO            | N/A  | 0  | 0     |
| OPEPD              | N/A  | 0  | 0     |
| OSERS              | N/A  | 0  | 0     |
| AGENCY OVERALL     |  |  | 23    |

### V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

| Agency / Component    | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|-----------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| OCFO-CAM              | 0     | 0     | 0     | 12    | 0     | 9     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| FSA                   | 0     | 0     | 9     | 9     | 19    | 88    | 5        | 1        | 8        | 2        | 3        | 0        | 0     | 0     |
| IES                   | 0     | 0     | 0     | 11    | 2     | 14    | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| M                     | 0     | 0     | 3     | 12    | 45    | 82    | 9        | 0        | 10       | 1        | 6        | 3        | 0     | 0     |
| IES-NAGB              | 0     | 0     | 1     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCFO                  | 0     | 0     | 0     | 0     | 1     | 1     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCIO                  | 0     | 1     | 0     | 0     | 1     | 2     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCO                   | 0     | 0     | 0     | 0     | 1     | 4     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCR                   | 1     | 2     | 1     | 3     | 18    | 105   | 57       | 0        | 79       | 0        | 5        | 1        | 1     | 0     |
| OCR - Metro D.C.      | 0     | 0     | 0     | 0     | 5     | 79    | 5        | 0        | 78       | 0        | 0        | 0        | 0     | 0     |
| OCR - 1 Boston        | 0     | 1     | 0     | 0     | 22    | 98    | 50       | 0        | 100      | 0        | 5        | 0        | 0     | 0     |
| OCR - 10 Seattle      | 0     | 0     | 1     | 0     | 12    | 41    | 45       | 0        | 43       | 0        | 0        | 1        | 0     | 0     |
| OCR - 12 Cleveland    | 0     | 0     | 0     | 0     | 6     | 29    | 21       | 0        | 31       | 0        | 0        | 0        | 0     | 0     |
| OCR - 2 New York      | 0     | 0     | 0     | 0     | 1     | 1     | 15       | 1        | 146      | 0        | 0        | 0        | 0     | 0     |
| OCR - 3 Philadelphia  | 0     | 0     | 0     | 0     | 7     | 49    | 11       | 0        | 49       | 0        | 0        | 0        | 0     | 0     |
| OCR - 4 Atlanta       | 0     | 0     | 0     | 0     | 4     | 36    | 21       | 0        | 55       | 1        | 0        | 0        | 0     | 0     |
| OCR - 5 Chicago       | 0     | 0     | 0     | 0     | 7     | 79    | 27       | 4        | 80       | 2        | 6        | 0        | 0     | 0     |
| OCR - 6 Dallas        | 0     | 0     | 0     | 0     | 5     | 58    | 32       | 1        | 60       | 0        | 1        | 0        | 0     | 0     |
| OCR - 7 Kansas City   | 0     | 0     | 0     | 0     | 2     | 21    | 25       | 0        | 36       | 0        | 5        | 0        | 0     | 0     |
| OCR - 8 Denver        | 0     | 2     | 0     | 0     | 7     | 32    | 23       | 0        | 31       | 1        | 0        | 0        | 0     | 0     |
| OCR - 9 San Francisco | 0     | 0     | 0     | 0     | 13    | 107   | 43       | 0        | 107      | 0        | 8        | 0        | 0     | 0     |
| OCTAE                 | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| ODS                   | 0     | 0     | 0     | 0     | 0     | 1     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OESE                  | 0     | 0     | 0     | 17    | 2     | 31    | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OGC                   | 0     | 0     | 7     | 8     | 5     | 13    | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|--------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| OIG                | 0     | 0     | 1     | 3     | 7     | 23    | 6        | 0        | 20       | 0        | 4        | 0        | 0     | 0     |
| OII                | 0     | 0     | 0     | 0     | 0     | 3     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OLCA               | 0     | 0     | 0     | 0     | 0     | 4     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OM                 | 0     | 0     | 0     | 1     | 3     | 34    | 1        | 0        | 1        | 0        | 0        | 0        | 0     | 0     |
| OM-OCPO            | 0     | 0     | 0     | 1     | 6     | 30    | 2        | 0        | 4        | 0        | 0        | 0        | 0     | 0     |
| OPE                | 0     | 0     | 0     | 60    | 7     | 66    | 1        | 0        | 0        | 0        | 0        | 2        | 0     | 0     |
| OPEPD              | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OS                 | 1     | 0     | 0     | 1     | 42    | 62    | 2        | 0        | 18       | 0        | 8        | 28       | 0     | 0     |
| OSERS              | 2     | 0     | 0     | 8     | 8     | 21    | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OUS                | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| AGENCY OVERALL     | 4     | 6     | 23    | 146   | 258   | 1,223 | 401      | 7        | 956      | 7        | 51       | 35       | 1     | 0     |



## ADMINISTRATIVE APPEALS OF INITIAL DETERMINATION OF FOIA/PA REQUESTS

### VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

| Agency / Component    | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
|-----------------------|--|---|--|--|
| OCFO-CAM              | 2  | 0   | 0  | 2  |
| FSA                   | 25   | 9   | 7  | 27   |
| IES                   | 0  | 0   | 0  | 0  |
| M                     | 4  | 4   | 4  | 4  |
| IES-NAGB              | 0  | 0   | 0  | 0  |
| OCFO                  | 0  | 0   | 0  | 0  |
| OCIO                  | 0  | 1   | 1  | 0  |
| OCO                   | 0  | 0   | 0  | 0  |
| OCR                   | 1  | 12  | 3  | 10   |
| OCR - Metro D.C.      | 1  | 3   | 3  | 1  |
| OCR - 1 Boston        | 0  | 1   | 1  | 0  |
| OCR - 10 Seattle      | 0  | 2   | 1  | 1  |
| OCR - 12 Cleveland    | 0  | 2   | 2  | 0  |
| OCR - 2 New York      | 1  | 3   | 3  | 1  |
| OCR - 3 Philadelphia  | 1  | 1   | 1  | 1  |
| OCR - 4 Atlanta       | 6  | 4   | 5  | 5  |
| OCR - 5 Chicago       | 3  | 3   | 2  | 4  |
| OCR - 6 Dallas        | 1  | 1   | 1  | 1  |
| OCR - 7 Kansas City   | 1  | 0   | 1  | 0  |
| OCR - 8 Denver        | 0  | 2   | 0  | 2  |
| OCR - 9 San Francisco | 0  | 1   | 0  | 1  |
| OCTAE                 | 0  | 0   | 0  | 0  |
| ODS                   | 0  | 0   | 0  | 0  |
| OESE                  | 2  | 1   | 0  | 3  |
| OGC                   | 2  | 7   | 3  | 6  |
| OIG                   | 0  | 2   | 1  | 1  |
| OII                   | 1  | 0   | 1  | 0  |
| OLCA                  | 0  | 0   | 0  | 0  |
| OM                    | 1  | 3   | 3  | 1  |

| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
|--------------------|--|---|--|--|
| OM-OCPO            | 1  | 3   | 4  | 0  |
| OPE                | 0  | 0   | 0  | 0  |
| OPEPD              | 0  | 0   | 0  | 0  |
| OS                 | 3  | 4   | 4  | 3  |
| OSERS              | 0  | 2   | 2  | 0  |
| OUS                | 0  | 0   | 0  | 0  |
| AGENCY OVERALL     | 56   | 71  | 53   | 74   |

*The discrepancy in the number of pending appeals in beginning of fiscal year for the components is due to how cases are tracked within our case management system. Example: an appeal initially assigned to Office "A" in FY17 is later assigned to Office "B" would show as a pending appeal for Office "A" at the end of FY17, but when Office "B" closes the appeal in FY18, the report will show Office "B" as the pending office at the start of FY17 rather than Office "A".*

## VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

| Agency / Component    | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
|-----------------------|---------------------------|---|---|--|-------|
| OCFO-CAM              | 0                         | 0   | 0   | 0  | 0     |
| FSA                   | 0                         | 0   | 2   | 5  | 7     |
| IES                   | 0                         | 0   | 0   | 0  | 0     |
| M                     | 1                         | 0   | 0   | 3  | 4     |
| IES-NAGB              | 0                         | 0   | 0   | 0  | 0     |
| OCFO                  | 0                         | 0   | 0   | 0  | 0     |
| OCIO                  | 0                         | 1   | 0   | 0  | 1     |
| OCO                   | 0                         | 0   | 0   | 0  | 0     |
| OCR                   | 0                         | 1   | 0   | 2  | 3     |
| OCR - Metro D.C.      | 2                         | 0   | 1   | 0  | 3     |
| OCR - 1 Boston        | 1                         | 0   | 0   | 0  | 1     |
| OCR - 10 Seattle      | 0                         | 0   | 1   | 0  | 1     |
| OCR - 12 Cleveland    | 1                         | 1   | 0   | 0  | 2     |
| OCR - 2 New York      | 1                         | 1   | 1   | 0  | 3     |
| OCR - 3 Philadelphia  | 0                         | 1   | 0   | 0  | 1     |
| OCR - 4 Atlanta       | 3                         | 1   | 0   | 1  | 5     |
| OCR - 5 Chicago       | 0                         | 2   | 0   | 0  | 2     |
| OCR - 6 Dallas        | 0                         | 1   | 0   | 0  | 1     |
| OCR - 7 Kansas City   | 0                         | 0   | 1   | 0  | 1     |
| OCR - 8 Denver        | 0                         | 0   | 0   | 0  | 0     |
| OCR - 9 San Francisco | 0                         | 0   | 0   | 0  | 0     |
| OCTAE                 | 0                         | 0   | 0   | 0  | 0     |
| ODS                   | 0                         | 0   | 0   | 0  | 0     |

| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
|--------------------|---------------------------|---|---|--|-------|
| OESE               | 0                         | 0   | 0   | 0  | 0     |
| OGC                | 1                         | 2   | 0   | 0  | 3     |
| OIG                | 1                         | 0   | 0   | 0  | 1     |
| OII                | 0                         | 1   | 0   | 0  | 1     |
| OLCA               | 0                         | 0   | 0   | 0  | 0     |
| OM                 | 0                         | 1   | 1   | 1  | 3     |
| OM-OCPO            | 0                         | 0   | 3   | 1  | 4     |
| OPE                | 0                         | 0   | 0   | 0  | 0     |
| OPEPD              | 0                         | 0   | 0   | 0  | 0     |
| OS                 | 1                         | 1   | 1   | 1  | 4     |
| OSERS              | 0                         | 0   | 0   | 2  | 2     |
| OUS                | 0                         | 0   | 0   | 0  | 0     |
| AGENCY OVERALL     | 12                        | 14  | 11  | 16   | 53    |

# VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

| Agency / Component    | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|-----------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| OCFO-CAM              | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| FSA                   | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| IES                   | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| M                     | 0     | 0     | 0     | 0     | 0     | 0     | 1        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| IES-NAGB              | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCFO                  | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCIO                  | 0     | 0     | 0     | 0     | 0     | 1     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCO                   | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCR                   | 0     | 0     | 0     | 0     | 1     | 1     | 0        | 0        | 1        | 0        | 0        | 0        | 0     | 0     |
| OCR - Metro D.C.      | 0     | 0     | 0     | 0     | 0     | 2     | 0        | 0        | 2        | 0        | 0        | 0        | 0     | 0     |
| OCR - 1 Boston        | 0     | 0     | 0     | 0     | 0     | 1     | 1        | 0        | 1        | 0        | 0        | 0        | 0     | 0     |
| OCR - 10 Seattle      | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCR - 12 Cleveland    | 0     | 0     | 0     | 0     | 2     | 1     | 0        | 0        | 1        | 0        | 0        | 0        | 0     | 0     |
| OCR - 2 New York      | 0     | 0     | 0     | 0     | 0     | 1     | 0        | 0        | 1        | 0        | 0        | 0        | 0     | 0     |
| OCR - 3 Philadelphia  | 0     | 0     | 0     | 0     | 1     | 1     | 0        | 0        | 1        | 0        | 0        | 0        | 0     | 0     |
| OCR - 4 Atlanta       | 0     | 0     | 0     | 0     | 0     | 1     | 2        | 0        | 1        | 0        | 0        | 0        | 0     | 0     |
| OCR - 5 Chicago       | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCR - 6 Dallas        | 0     | 0     | 0     | 0     | 1     | 1     | 0        | 0        | 1        | 0        | 0        | 0        | 0     | 0     |
| OCR - 7 Kansas City   | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCR - 8 Denver        | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCR - 9 San Francisco | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OCTAE                 | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| ODS                   | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OESE                  | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OGC                   | 0     | 0     | 0     | 0     | 3     | 2     | 0        | 0        | 2        | 0        | 0        | 2        | 0     | 0     |

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|--------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| OIG                | 0     | 0     | 0     | 0     | 0     | 0     | 1        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OII                | 0     | 0     | 0     | 1     | 0     | 1     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OLCA               | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OM                 | 0     | 0     | 0     | 0     | 0     | 1     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OM-OCPO            | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OPE                | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OPEPD              | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OS                 | 0     | 0     | 0     | 0     | 1     | 2     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OSERS              | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| OUS                | 0     | 0     | 0     | 0     | 0     | 0     | 0        | 0        | 0        | 0        | 0        | 0        | 0     | 0     |
| AGENCY OVERALL     | 0     | 0     | 0     | 1     | 9     | 16    | 5        | 0        | 11       | 0        | 0        | 2        | 0     | 0     |

## VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

| Agency / Component    | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other *Explain in chart below |
|-----------------------|------------|---|-------------------|--------------------|----------------------------------|------------------------------------|-------------------|-----------------------------|-----------------------|---|-------------------------------|
| OCFO-CAM              | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| FSA                   | 0          | 0   | 1                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 3                     | 0   | 1                             |
| IES                   | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| M                     | 1          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 1                     | 0   | 1                             |
| IES-NAGB              | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCFO                  | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCIO                  | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCO                   | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR                   | 0          | 0   | 2                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - Metro D.C.      | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 1 Boston        | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 10 Seattle      | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 12 Cleveland    | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 2 New York      | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 3 Philadelphia  | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 4 Atlanta       | 0          | 0   | 1                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 5 Chicago       | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 6 Dallas        | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 7 Kansas City   | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 8 Denver        | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| OCR - 9 San Francisco | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |



| Agency / Component | No<br>Records | Records<br>Referred<br>at Initial<br>Request<br>Level | Request<br>Withdrawn | Fee-<br>Related<br>Reason | Records<br>not<br>Reasonably<br>Described | Improper<br>Request<br>for Other<br>Reasons | Not<br>Agency<br>Record | Duplicate<br>Request<br>or<br>Appeal | Request<br>in<br>Litigation | Appeal<br>Based<br>Solely on<br>Denial of<br>Request<br>for<br>Expedited<br>Processing | Other<br>*Explain<br>in chart<br>below |
|--------------------|---------------|---|----------------------|---------------------------|---|---|-------------------------|--------------------------------------|-----------------------------|--|--|
| OCTAE              | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| ODS                | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OESE               | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OGC                | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OIG                | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OII                | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OLCA               | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OM                 | 0             | 0   | 1                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OM-OCPO            | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 1                                      |
| OPE                | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OPEPD              | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OS                 | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 1                                      |
| OSERS              | 1             | 1   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| OUS                | 0             | 0   | 0                    | 0                         | 0   | 0   | 0                       | 0                                    | 0                           | 0  | 0                                      |
| AGENCY OVERALL     | 2             | 1   | 5                    | 0                         | 0   | 0   | 0                       | 0                                    | 4                           | 0  | 4                                      |

### VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

| Agency / Component    | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|-----------------------|---|--|-------|
| FSA                   | Moot  | 1  | 1     |
| M                     | Moot  | 1  | 1     |
| OM-OCPO               | Moot  | 1  | 1     |
| OS                    | Moot  | 1  | 1     |
| OCFO-CAM              | N/A   | 0  | 0     |
| IES                   | N/A   | 0  | 0     |
| IES-NAGB              | N/A   | 0  | 0     |
| OCFO                  | N/A   | 0  | 0     |
| OCIO                  | N/A   | 0  | 0     |
| OCO                   | N/A   | 0  | 0     |
| OCR                   | N/A   | 0  | 0     |
| OCR - Metro D.C.      | N/A   | 0  | 0     |
| OCR - 1 Boston        | N/A   | 0  | 0     |
| OCR - 10 Seattle      | N/A   | 0  | 0     |
| OCR - 12 Cleveland    | N/A   | 0  | 0     |
| OCR - 2 New York      | N/A   | 0  | 0     |
| OCR - 3 Philadelphia  | N/A   | 0  | 0     |
| OCR - 4 Atlanta       | N/A   | 0  | 0     |
| OCR - 5 Chicago       | N/A   | 0  | 0     |
| OCR - 6 Dallas        | N/A   | 0  | 0     |
| OCR - 7 Kansas City   | N/A   | 0  | 0     |
| OCR - 8 Denver        | N/A   | 0  | 0     |
| OCR - 9 San Francisco | N/A   | 0  | 0     |
| OCTAE                 | N/A   | 0  | 0     |
| ODS                   | N/A   | 0  | 0     |
| OESE                  | N/A   | 0  | 0     |
| OGC                   | N/A   | 0  | 0     |
| OIG                   | N/A   | 0  | 0     |
| OII                   | N/A   | 0  | 0     |
| OLCA                  | N/A   | 0  | 0     |
| OM                    | N/A   | 0  | 0     |
| OPE                   | N/A   | 0  | 0     |

| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|---|--|-------|
| OPEPD              | N/A   | 0  | 0     |
| OSERS              | N/A   | 0  | 0     |
| OUS                | N/A   | 0  | 0     |
| AGENCY OVERALL     |   |  | 4     |

#### VI.C.(4). RESPONSE TIME FOR (CLOSED) ADMINISTRATIVE APPEALS

| Agency / Component    | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|-----------------------|-----------------------|------------------------|-----------------------|------------------------|
| OCFO-CAM              | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| FSA                   | 156.00                | 255.00                 | 33.00                 | 1,119.00               |
| IES                   | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| M                     | 201.00                | 324.75                 | 79.00                 | 818.00                 |
| IES-NAGB              | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OCFO                  | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OCIO                  | 25.00                 | 25.00                  | 25.00                 | 25.00                  |
| OCO                   | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OCR                   | 6.00                  | 145.33                 | 3.00                  | 427.00                 |
| OCR - Metro D.C.      | 32.00                 | 183.67                 | 23.00                 | 496.00                 |
| OCR - 1 Boston        | 67.00                 | 67.00                  | 67.00                 | 67.00                  |
| OCR - 10 Seattle      | 27.00                 | 27.00                  | 27.00                 | 27.00                  |
| OCR - 12 Cleveland    | 82.00                 | 82.00                  | 32.00                 | 132.00                 |
| OCR - 2 New York      | 41.00                 | 78.33                  | 17.00                 | 177.00                 |
| OCR - 3 Philadelphia  | 432.00                | 432.00                 | 432.00                | 432.00                 |
| OCR - 4 Atlanta       | 142.00                | 176.00                 | 13.00                 | 357.00                 |
| OCR - 5 Chicago       | 120.50                | 120.50                 | 48.00                 | 193.00                 |
| OCR - 6 Dallas        | 384.00                | 384.00                 | 384.00                | 384.00                 |
| OCR - 7 Kansas City   | 83.00                 | 83.00                  | 83.00                 | 83.00                  |
| OCR - 8 Denver        | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OCR - 9 San Francisco | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OCTAE                 | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| ODS                   | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OESE                  | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OGC                   | 196.00                | 141.33                 | 32.00                 | 196.00                 |
| OIG                   | 18.00                 | 18.00                  | 18.00                 | 18.00                  |
| OII                   | 1,382.00              | 1,382.00               | 1,382.00              | 1,382.00               |
| OLCA                  | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OM                    | 209.00                | 148.33                 | 5.00                  | 231.00                 |
| OM-OCPO               | 17.00                 | 55.50                  | 2.00                  | 186.00                 |
| OPE                   | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OPEPD                 | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| OS                    | 135.50                | 148.00                 | 106.00                | 215.00                 |

| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|
| OSERS              | 36.50                 | 36.50                  | 26.00                 | 47.00                  |
| OUS                | 0.00                  | 0.00                   | 0.00                  | 0.00                   |
| AGENCY OVERALL     | 106.00                | 184.25                 | 2.00                  | 1,382.00               |

#### VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

| Agency / Component | 10th<br>Oldest<br>Request<br>(in Days) | 9 <sup>th</sup> (in Days) | 8 <sup>th</sup> (in Days) | 7 <sup>th</sup> (in Days) | 6 <sup>th</sup> (in Days) | 5 <sup>th</sup> (in Days) | 4 <sup>th</sup> (in Days) | 3 <sup>rd</sup> (in Days) | 2 <sup>nd</sup> (in Days) | Oldest<br>Request (in Days) |
|--------------------|--|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|-----------------------------|
| OCFO-CAM           | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-28<br>(0)         | 2018-09-11<br>(13)        | 2018-09-11<br>(13)        | 2018-09-11<br>(13)        | 2018-08-28<br>(22)        | 2018-07-03<br>(61)          |
| FSA                | 2017-01-25<br>(423)                    | 2017-01-19<br>(427)       | 2017-01-04<br>(437)       | 2016-11-01<br>(479)       | 2016-09-16<br>(510)       | 2016-08-22<br>(528)       | 2016-06-13<br>(577)       | 2016-02-16<br>(660)       | 2014-12-03<br>(960)       | 2014-09-19<br>(1009)        |
| IES                | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-19<br>(7)         | 2018-09-17<br>(9)         | 2018-09-11<br>(13)        | 2018-08-30<br>(20)          |
| M                  | 2017-03-24<br>(382)                    | 2017-03-22<br>(384)       | 2017-03-20<br>(386)       | 2017-03-17<br>(387)       | 2017-03-15<br>(389)       | 2017-02-23<br>(403)       | 2016-11-18<br>(467)       | 2016-10-24<br>(485)       | 2016-08-24<br>(526)       | 2016-05-19<br>(593)         |
| IES-NAGB           | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                  |
| OCFO               | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-05-07<br>(101)         |
| OCIO               | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-05-10<br>(98)        | 2017-07-26<br>(296)         |
| OCO                | 2018-07-11<br>(56)                     | 2018-06-25<br>(67)        | 2018-06-15<br>(73)        | 2018-06-06<br>(80)        | 2018-04-24<br>(110)       | 2018-04-05<br>(123)       | 2018-03-18<br>(136)       | 2018-03-13<br>(140)       | 2017-10-10<br>(244)       | 2017-03-03<br>(397)         |
| OCR                | 2017-07-07<br>(309)                    | 2017-06-16<br>(323)       | 2017-06-07<br>(330)       | 2017-05-31<br>(335)       | 2017-05-08<br>(351)       | 2017-03-22<br>(384)       | 2016-12-06<br>(456)       | 2016-11-28<br>(462)       | 2016-11-02<br>(478)       | 2016-06-09<br>(579)         |
| OCR - Metro D.C.   | 2018-09-10<br>(14)                     | 2018-09-10<br>(14)        | 2018-09-10<br>(14)        | 2018-08-14<br>(136)       | 2018-07-27<br>(44)        | 2018-07-17<br>(52)        | 2018-06-12<br>(76)        | 2018-05-01<br>(105)       | 2018-01-24<br>(173)       | 2017-10-27<br>(231)         |
| OCR - 1 Boston     | 2017-02-28<br>(400)                    | 2017-02-21<br>(405)       | 2017-02-17<br>(406)       | 2017-01-30<br>(420)       | 2017-01-13<br>(430)       | 2016-12-12<br>(452)       | 2016-11-09<br>(473)       | 2016-11-03<br>(477)       | 2016-10-22<br>(485)       | 2015-11-13<br>(722)         |
| OCR - 10 Seattle   | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-24<br>(4)         | 2018-09-24<br>(4)           |

| Agency / Component    | 10th<br>Oldest<br>Request<br>(in Days) | 9 <sup>th</sup> (in Days) | 8 <sup>th</sup> (in Days) | 7 <sup>th</sup> (in Days) | 6 <sup>th</sup> (in Days) | 5 <sup>th</sup> (in Days) | 4 <sup>th</sup> (in Days) | 3 <sup>rd</sup> (in Days) | 2 <sup>nd</sup> (in Days) | Oldest<br>Request (in Days) |
|-----------------------|--|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|-----------------------------|
| OCR - 12 Cleveland    | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-13<br>(11)          |
| OCR - 2 New York      | 2017-09-13<br>(262)                    | 2017-08-23<br>(276)       | 2017-08-21<br>(278)       | 2017-08-15<br>(282)       | 2017-06-09<br>(328)       | 2017-01-26<br>(422)       | 2016-11-23<br>(464)       | 2016-07-26<br>(547)       | 2016-06-02<br>(584)       | 2015-10-01<br>(751)         |
| OCR - 3 Philadelphia  | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-21<br>(5)           |
| OCR - 4 Atlanta       | 2018-04-17<br>(115)                    | 2018-03-01<br>(148)       | 2018-02-15<br>(157)       | 2018-01-31<br>(168)       | 2018-01-09<br>(183)       | 2017-10-12<br>(242)       | 2017-09-19<br>(258)       | 2017-09-08<br>(265)       | 2017-01-02<br>(438)       | 2016-10-25<br>(484)         |
| OCR - 5 Chicago       | 2018-09-25<br>(3)                      | 2018-09-25<br>(3)         | 2018-09-25<br>(3)         | 2018-09-20<br>(6)         | 2018-09-20<br>(6)         | 2018-09-19<br>(7)         | 2018-09-18<br>(8)         | 2018-09-18<br>(8)         | 2018-09-12<br>(12)        | 2018-09-12<br>(12)          |
| OCR - 6 Dallas        | 2018-08-22<br>(26)                     | 2018-08-01<br>(41)        | 2018-07-26<br>(45)        | 2018-07-12<br>(55)        | 2018-05-23<br>(89)        | 2018-05-10<br>(98)        | 2018-04-30<br>(106)       | 2018-04-26<br>(108)       | 2018-04-16<br>(116)       | 2018-03-13<br>(140)         |
| OCR - 7 Kansas City   | 2018-07-13<br>(54)                     | 2018-07-05<br>(60)        | 2018-06-18<br>(72)        | 2018-05-09<br>(99)        | 2018-04-09<br>(121)       | 2018-03-13<br>(140)       | 2018-01-24<br>(173)       | 2017-12-08<br>(203)       | 2017-10-17<br>(239)       | 2017-08-09<br>(286)         |
| OCR - 8 Denver        | 2018-09-21<br>(5)                      | 2018-09-20<br>(6)         | 2018-09-17<br>(9)         | 2018-09-17<br>(9)         | 2018-09-17<br>(9)         | 2018-09-11<br>(13)        | 2018-09-05<br>(17)        | 2018-08-16<br>(30)        | 2018-05-08<br>(100)       | 2018-03-29<br>(128)         |
| OCR - 9 San Francisco | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-21<br>(5)         | 2018-09-05<br>(17)        | 2018-07-10<br>(57)          |
| OCTAE                 | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                  |
| ODS                   | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-08-28<br>(22)        | 2018-08-24<br>(24)        | 2018-07-09<br>(58)        | 2018-06-27<br>(65)        | 2018-03-19<br>(136)         |
| OESE                  | 2018-04-20<br>(112)                    | 2018-04-07<br>(121)       | 2018-02-26<br>(151)       | 2018-01-23<br>(174)       | 2017-11-27<br>(212)       | 2017-11-20<br>(216)       | 2017-10-23<br>(235)       | 2017-10-10<br>(244)       | 2017-09-21<br>(256)       | 2017-04-27<br>(358)         |
| OGC                   | 2017-10-24<br>(234)                    | 2017-10-06<br>(245)       | 2017-09-12<br>(263)       | 2017-08-21<br>(278)       | 2017-07-26<br>(296)       | 2017-06-23<br>(318)       | 2017-03-07<br>(395)       | 2017-03-03<br>(397)       | 2016-11-09<br>(473)       | 2015-09-18<br>(760)         |
| OIG                   | N/A                                    | N/A                       | N/A                       | N/A                       | 2018-09-25                | 2018-09-12                | 2018-08-31                | 2018-01-12                | 2017-03-27                | 2016-10-06                  |

| Agency / Component | 10th<br>Oldest<br>Request<br>(in Days) | 9 <sup>th</sup> (in Days) | 8 <sup>th</sup> (in<br>Days) | 7 <sup>th</sup> (in<br>Days) | 6 <sup>th</sup> (in<br>Days) | 5 <sup>th</sup> (in<br>Days) | 4 <sup>th</sup> (in<br>Days) | 3 <sup>rd</sup> (in<br>Days) | 2 <sup>nd</sup> (in<br>Days) | Oldest<br>Request (in<br>Days) |
|--------------------|--|---------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
|                    | (0)                                    | (0)                       | (0)                          | (0)                          | (3)                          | (12)                         | (19)                         | (180)                        | (381)                        | (496)                          |
| OII                | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | 2018-09-28<br>(0)              |
| OLCA               | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | 2018-09-28<br>(0)            | 2018-07-31<br>(42)             |
| OM                 | 2018-07-19<br>(50)                     | 2018-06-22<br>(68)        | 2018-06-20<br>(70)           | 2018-06-20<br>(70)           | 2018-06-18<br>(72)           | 2018-06-15<br>(73)           | 2018-05-30<br>(85)           | 2018-05-04<br>(102)          | 2018-03-13<br>(140)          | 2018-03-06<br>(145)            |
| OM-OCPO            | 2017-04-21<br>(362)                    | 2017-02-28<br>(400)       | 2017-02-24<br>(402)          | 2017-02-24<br>(402)          | 2017-02-21<br>(405)          | 2017-01-27<br>(421)          | 2017-01-27<br>(421)          | 2016-12-12<br>(452)          | 2016-12-12<br>(452)          | 2015-07-22<br>(801)            |
| OPE                | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                     |
| OPEPD              | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                     |
| OS                 | 2015-08-26<br>(775)                    | 2015-08-13<br>(785)       | 2015-07-23<br>(800)          | 2015-07-22<br>(801)          | 2014-10-02<br>(1000)         | 2014-07-31<br>(1044)         | 2014-05-06<br>(1104)         | 2014-04-25<br>(1111)         | 2014-01-24<br>(1175)         | 2011-01-03<br>(1943)           |
| OSERS              | N/A<br>(0)                             | N/A<br>(0)                | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | 2018-08-13<br>(33)           | 2017-10-25<br>(233)            |
| OUS                | 2017-07-13<br>(305)                    | 2017-06-26<br>(317)       | 2017-06-26<br>(317)          | 2017-06-15<br>(324)          | 2017-06-09<br>(328)          | 2017-05-01<br>(356)          | 2017-03-03<br>(397)          | 2016-09-21<br>(507)          | 2016-07-28<br>(545)          | 2016-04-19<br>(615)            |
| AGENCY OVERALL     | 2015-07-22<br>(801)                    | 2015-07-22<br>(801)       | 2014-12-02<br>(960)          | 2014-10-02<br>(1000)         | 2014-09-19<br>(1009)         | 2014-07-31<br>(1004)         | 2014-05-06<br>(1004)         | 2014-04-25<br>(1111)         | 2014-01-24<br>(1175)         | 2011-01-03<br>(1943)           |



## FOIA/PA REQUESTS: Response Time for Processed and Pending Requests

### VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

| Agency/Component     | Simple Median Number of Days | Simple Average Number of Days | Simple Lowest Number of Days | Simple Highest Number of Days | Complex Median Number of Days | Complex Average Number of Days | Complex Lowest Number of Days | Complex Highest Number of Days | Expedited Median Number of Days | Expedited Average Number of Days | Expedited Lowest Number of Days | Expedited Highest Number of Days |
|----------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|-------------------------------|--------------------------------|-------------------------------|--------------------------------|---------------------------------|----------------------------------|---------------------------------|----------------------------------|
| OCFO-CAM             | 15                           | 15.09                         | <1                           | 25                            | 95                            | 114.8                          | 46                            | 329                            | N/A                             | N/A                              | N/A                             | N/A                              |
| FSA                  | 15                           | 14.3                          | <1                           | 25                            | 78                            | 142.89                         | 26                            | 1146                           | 30                              | 30                               | 30                              | 30                               |
| IES                  | 6                            | 8                             | <1                           | 21                            | 65.5                          | 71.3                           | 33                            | 130                            | N/A                             | N/A                              | N/A                             | N/A                              |
| M                    | 15                           | 12.8                          | 1                            | 25                            | 127                           | 173.14                         | 23                            | 1129                           | N/A                             | N/A                              | N/A                             | N/A                              |
| IES-NAGB             | 17                           | 17                            | 17                           | 17                            | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCFO                 | 18                           | 18                            | 17                           | 19                            | 156                           | 203.14                         | 91                            | 422                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCIO                 | 1                            | 1                             | 1                            | 1                             | 58.5                          | 86.33                          | 30                            | 250                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCO                  | 4.5                          | 4.5                           | 4                            | 5                             | 119                           | 128.16                         | 24                            | 503                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR                  | 11                           | 12.18                         | <1                           | 25                            | 63.5                          | 105.16                         | 23                            | 647                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - Metro D.C.     | 6                            | 8.44                          | <1                           | 25                            | 82                            | 100.91                         | 24                            | 384                            | 86                              | 86                               | 86                              | 86                               |
| OCR - 1 Boston       | 10                           | 11.09                         | <1                           | 25                            | 85                            | 151.08                         | 26                            | 579                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 10 Seattle     | 9                            | 9.68                          | <1                           | 22                            | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 12 Cleveland   | 8                            | 9.94                          | <1                           | 25                            | 65                            | 86.29                          | 26                            | 306                            | 1                               | 1                                | 1                               | 1                                |
| OCR - 2 New York     | 11                           | 12.4                          | 1                            | 25                            | 74.5                          | 152.95                         | 27                            | 630                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 3 Philadelphia | 7.5                          | 8.63                          | <1                           | 20                            | 42                            | 85.4                           | 27                            | 254                            | 4                               | 4.79                             | 1                               | 10                               |
| OCR - 4 Atlanta      | 13                           | 12.31                         | <1                           | 25                            | 45.5                          | 82.53                          | 14                            | 340                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 5 Chicago      | 18                           | 15.77                         | <1                           | 73                            | 26.5                          | 27                             | 19                            | 42                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 6 Dallas       | 9                            | 10.55                         | <1                           | 22                            | 46.5                          | 83.25                          | 18                            | 379                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 7 Kansas City  | 7                            | 8.4                           | <1                           | 24                            | 82                            | 78.88                          | 41                            | 116                            | N/A                             | N/A                              | N/A                             | N/A                              |

| Agency/Component      | Simple Median Number of Days | Simple Average Number of Days | Simple Lowest Number of Days | Simple Highest Number of Days | Complex Median Number of Days | Complex Average Number of Days | Complex Lowest Number of Days | Complex Highest Number of Days | Expedited Median Number of Days | Expedited Average Number of Days | Expedited Lowest Number of Days | Expedited Highest Number of Days |
|-----------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|-------------------------------|--------------------------------|-------------------------------|--------------------------------|---------------------------------|----------------------------------|---------------------------------|----------------------------------|
| OCR - 8 Denver        | 8                            | 9.15                          | <1                           | 25                            | 35                            | 39.95                          | 28                            | 130                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 9 San Francisco | 5                            | 6.71                          | <1                           | 24                            | 38                            | 45.67                          | 27                            | 84                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OCTAE                 | 7                            | 7                             | 7                            | 7                             | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| ODS                   | 4                            | 4                             | 4                            | 4                             | 47                            | 47                             | 47                            | 47                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OESE                  | 8                            | 9.75                          | 2                            | 25                            | 67                            | 76.31                          | 26                            | 180                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OGC                   | 8                            | 9.2                           | <1                           | 24                            | 41                            | 97.36                          | 40                            | 419                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OIG                   | 17                           | 15.88                         | 1                            | 24                            | 45                            | 82                             | 23                            | 178                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OII                   | 7                            | 9.42                          | <1                           | 22                            | 34                            | 33.25                          | 24                            | 41                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OLCA                  | 13                           | 12.5                          | 1                            | 23                            | 57.5                          | 57.5                           | 40                            | 75                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OM                    | 11                           | 11.7                          | 2                            | 25                            | 50                            | 94.66                          | 23                            | 342                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OM-OCPO               | <1                           | 1.32                          | <1                           | 23                            | 62                            | 107.79                         | 26                            | 271                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OPE                   | 13                           | 14.51                         | <1                           | 25                            | 53.5                          | 71.11                          | 26                            | 236                            | 30                              | 30                               | 7                               | 53                               |
| OPEPD                 | N/A                          | N/A                           | N/A                          | N/A                           | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OS                    | 7                            | 9.04                          | <1                           | 24                            | 144                           | 173.16                         | 30                            | 1130                           | 25                              | 25                               | 25                              | 25                               |
| OSERS                 | 12.5                         | 13.23                         | 2                            | 25                            | 41.5                          | 139.5                          | 26                            | 653                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OUS                   | N/A                          | N/A                           | N/A                          | N/A                           | 51                            | 51                             | 51                            | 51                             | N/A                             | N/A                              | N/A                             | N/A                              |
| AGENCY OVERALL        | 9                            | 9.89                          | <1                           | 73                            | 76                            | 124.24                         | 14                            | 1146                           | 5.5                             | 13.45                            | 1                               | 86                               |

## VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

| Agency/Component      | Simple Median Number of Days | Simple Average Number of Days | Simple Lowest Number of Days | Simple Highest Number of Days | Complex Median Number of Days | Complex Average Number of Days | Complex Lowest Number of Days | Complex Highest Number of Days | Expedited Median Number of Days | Expedited Average Number of Days | Expedited Lowest Number of Days | Expedited Highest Number of Days |
|-----------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|-------------------------------|--------------------------------|-------------------------------|--------------------------------|---------------------------------|----------------------------------|---------------------------------|----------------------------------|
| OCFO-CAM              | 20                           | 18.12                         | 11                           | 25                            | 95                            | 94.88                          | 46                            | 163                            | N/A                             | N/A                              | N/A                             | N/A                              |
| FSA                   | 15                           | 15.44                         | <1                           | 25                            | 78                            | 118.99                         | 26                            | 615                            | 30                              | 30                               | 30                              | 30                               |
| IES                   | 5                            | 5.87                          | <1                           | 18                            | 65.5                          | 71.75                          | 34                            | 130                            | N/A                             | N/A                              | N/A                             | N/A                              |
| M                     | 16                           | 15.86                         | 3                            | 25                            | 125                           | 176.91                         | 23                            | 1129                           | N/A                             | N/A                              | N/A                             | N/A                              |
| IES-NAGB              | 17                           | 17                            | 17                           | 17                            | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCFO                  | 17                           | 17                            | 17                           | 17                            | 243                           | 249.75                         | 91                            | 422                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCIO                  | 1                            | 1                             | 1                            | 1                             | 58.5                          | 86.33                          | 30                            | 250                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCO                   | 5                            | 5                             | 5                            | 5                             | 139                           | 164.91                         | 33                            | 503                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR                   | 15                           | 13.49                         | 3                            | 25                            | 63                            | 101.54                         | 23                            | 647                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - Metro D.C.      | 6                            | 8.61                          | <1                           | 25                            | 85.5                          | 100.94                         | 27                            | 384                            | 86                              | 86                               | 86                              | 86                               |
| OCR - 1 Boston        | 10                           | 11.46                         | <1                           | 25                            | 75                            | 137.45                         | 26                            | 579                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 10 Seattle      | 9                            | 10.12                         | <1                           | 22                            | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 12 Cleveland    | 11                           | 12.95                         | <1                           | 25                            | 61                            | 82.26                          | 26                            | 306                            | 1                               | 1                                | 1                               | 1                                |
| OCR - 2 New York      | 11                           | 12.65                         | 3                            | 25                            | 76                            | 154.29                         | 27                            | 630                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 3 Philadelphia  | 8                            | 8.93                          | <1                           | 20                            | 42                            | 85.4                           | 27                            | 254                            | 4                               | 4.79                             | 1                               | 10                               |
| OCR - 4 Atlanta       | 15                           | 13.55                         | <1                           | 25                            | 42                            | 64.41                          | 14                            | 338                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 5 Chicago       | 19                           | 16.35                         | 1                            | 73                            | 26.5                          | 27                             | 19                            | 42                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 6 Dallas        | 9                            | 11.2                          | <1                           | 22                            | 43                            | 85.55                          | 18                            | 379                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 7 Kansas City   | 7                            | 8.29                          | <1                           | 24                            | 82                            | 78.88                          | 41                            | 116                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 8 Denver        | 8                            | 8.73                          | <1                           | 24                            | 35                            | 41.93                          | 30                            | 130                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OCR - 9 San Francisco | 5.5                          | 6.9                           | <1                           | 24                            | 38                            | 45.67                          | 27                            | 84                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OCTAE                 | 7                            | 7                             | 7                            | 7                             | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| ODS                   | 4                            | 4                             | 4                            | 4                             | 47                            | 47                             | 47                            | 47                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OESE                  | 10                           | 10.11                         | 2                            | 16                            | 72                            | 78.18                          | 26                            | 180                            | N/A                             | N/A                              | N/A                             | N/A                              |

| Agency/Component | Simple Median Number of Days | Simple Average Number of Days | Simple Lowest Number of Days | Simple Highest Number of Days | Complex Median Number of Days | Complex Average Number of Days | Complex Lowest Number of Days | Complex Highest Number of Days | Expedited Median Number of Days | Expedited Average Number of Days | Expedited Lowest Number of Days | Expedited Highest Number of Days |
|------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|-------------------------------|--------------------------------|-------------------------------|--------------------------------|---------------------------------|----------------------------------|---------------------------------|----------------------------------|
| OGC              | 8.5                          | 11.17                         | 5                            | 24                            | 41                            | 60.33                          | 40                            | 210                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OIG              | 19                           | 16.91                         | 4                            | 24                            | 45                            | 82                             | 23                            | 178                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OII              | 9                            | 10.1                          | <1                           | 22                            | 35                            | 36.33                          | 33                            | 41                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OLCA             | 20.5                         | 20.5                          | 18                           | 23                            | 57.5                          | 57.5                           | 40                            | 75                             | N/A                             | N/A                              | N/A                             | N/A                              |
| OM               | 12.5                         | 12.83                         | 2                            | 25                            | 62.5                          | 110.18                         | 23                            | 342                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OM-OCPO          | 7                            | 7.73                          | <1                           | 20                            | 53                            | 78.33                          | 26                            | 166                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OPE              | 18                           | 16.55                         | 5                            | 25                            | 54.5                          | 72.66                          | 26                            | 236                            | 30                              | 30                               | 7                               | 53                               |
| OPEPD            | N/A                          | N/A                           | N/A                          | N/A                           | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OS               | 12.5                         | 12.07                         | 1                            | 24                            | 141.5                         | 173.61                         | 30                            | 1130                           | 25                              | 25                               | 25                              | 25                               |
| OSERS            | 14                           | 13.67                         | 2                            | 25                            | 41.5                          | 139.5                          | 26                            | 653                            | N/A                             | N/A                              | N/A                             | N/A                              |
| OUS              | N/A                          | N/A                           | N/A                          | N/A                           | N/A                           | N/A                            | N/A                           | N/A                            | N/A                             | N/A                              | N/A                             | N/A                              |
| AGENCY OVERALL   | 11                           | 11.8                          | <1                           | 73                            | 73                            | 116.46                         | 14                            | 1130                           | 5.5                             | 13.45                            | 1                               | 86                               |

### VII.C. RESPONSE TIME IN (BUSINESS) DAY INCREMENTS: SIMPLE REQUESTS

| Agency / Component    | <1-20<br>Days | 21-40<br>Days | 41-60<br>Days | 61-80<br>Days | 81-<br>100<br>Days | 101-<br>120<br>Days | 121-<br>140<br>Days | 141-<br>160<br>Days | 161-<br>180<br>Days | 181-<br>200<br>Days | 201-<br>300<br>Days | 301-<br>400<br>Days | 401+<br>Days | TOTAL |
|-----------------------|---------------|---------------|---------------|---------------|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|-------|
| OCFO-CAM              | 8             | 3             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 11    |
| FSA                   | 37            | 16            | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 53    |
| IES                   | 28            | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 29    |
| M                     | 33            | 7             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 40    |
| IES-NAGB              | 1             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| OCFO                  | 2             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 2     |
| OCIO                  | 1             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| OCO                   | 2             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 2     |
| OCR                   | 67            | 16            | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 83    |
| OCR - Metro D.C.      | 52            | 9             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 61    |
| OCR - 1 Boston        | 55            | 10            | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 65    |
| OCR - 10 Seattle      | 96            | 3             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 99    |
| OCR - 12 Cleveland    | 29            | 6             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 35    |
| OCR - 2 New York      | 117           | 21            | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 138   |
| OCR - 3 Philadelphia  | 78            | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 78    |
| OCR - 4 Atlanta       | 47            | 12            | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 59    |
| OCR - 5 Chicago       | 149           | 3             | 0             | 1             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 153   |
| OCR - 6 Dallas        | 77            | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 78    |
| OCR - 7 Kansas City   | 40            | 3             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 43    |
| OCR - 8 Denver        | 56            | 6             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 62    |
| OCR - 9 San Francisco | 109           | 3             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 112   |
| OCTAE                 | 1             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| ODS                   | 1             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| OESE                  | 19            | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 20    |

| Agency / Component | <1-20<br>Days | 21-40<br>Days | 41-60<br>Days | 61-80<br>Days | 81-<br>100<br>Days | 101-<br>120<br>Days | 121-<br>140<br>Days | 141-<br>160<br>Days | 161-<br>180<br>Days | 181-<br>200<br>Days | 201-<br>300<br>Days | 301-<br>400<br>Days | 401+<br>Days | TOTAL |
|--------------------|---------------|---------------|---------------|---------------|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|-------|
| OGC                | 14            | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 15    |
| OIG                | 44            | 3             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 47    |
| OII                | 11            | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 12    |
| OLCA               | 3             | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 4     |
| OM                 | 39            | 4             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 43    |
| OM-OCPO            | 228           | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 229   |
| OPE                | 29            | 10            | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 39    |
| OPEPD              | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OS                 | 27            | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 28    |
| OSERS              | 18            | 4             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 22    |
| OUS                | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| AGENCY OVERALL     | 1,518         | 147           | 0             | 1             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1,666 |

### VII.C. RESPONSE TIME IN DAY INCREMENTS: COMPLEX REQUESTS

| Agency / Component    | <1-20<br>Days | 21-40<br>Days | 41-60<br>Days | 61-80<br>Days | 81-<br>100<br>Days | 101-<br>120<br>Days | 121-<br>140<br>Days | 141-<br>160<br>Days | 161-<br>180<br>Days | 181-<br>200<br>Days | 201-<br>300<br>Days | 301-<br>400<br>Days | 401+<br>Days | TOTAL |
|-----------------------|---------------|---------------|---------------|---------------|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|-------|
| OCFO-CAM              | 0             | 0             | 3             | 2             | 0                  | 1                   | 2                   | 0                   | 1                   | 0                   | 0                   | 1                   | 0            | 10    |
| FSA                   | 0             | 35            | 29            | 29            | 13                 | 9                   | 7                   | 7                   | 8                   | 3                   | 18                  | 8                   | 12           | 178   |
| IES                   | 0             | 2             | 3             | 1             | 1                  | 2                   | 1                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 10    |
| M                     | 0             | 16            | 19            | 15            | 10                 | 14                  | 9                   | 6                   | 4                   | 12                  | 28                  | 18                  | 5            | 156   |
| IES-NAGB              | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCFO                  | 0             | 0             | 0             | 0             | 1                  | 1                   | 0                   | 2                   | 0                   | 0                   | 2                   | 0                   | 1            | 7     |
| OCIO                  | 0             | 1             | 2             | 2             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 1                   | 0                   | 0            | 6     |
| OCO                   | 0             | 4             | 4             | 0             | 0                  | 2                   | 3                   | 1                   | 2                   | 0                   | 2                   | 0                   | 1            | 19    |
| OCR                   | 0             | 45            | 26            | 22            | 5                  | 11                  | 8                   | 4                   | 6                   | 2                   | 14                  | 6                   | 3            | 152   |
| OCR - Metro D.C.      | 0             | 13            | 14            | 5             | 6                  | 10                  | 3                   | 3                   | 2                   | 3                   | 4                   | 2                   | 0            | 65    |
| OCR - 1 Boston        | 0             | 18            | 22            | 7             | 4                  | 3                   | 2                   | 4                   | 3                   | 5                   | 15                  | 8                   | 6            | 97    |
| OCR - 10 Seattle      | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 12 Cleveland    | 0             | 10            | 3             | 5             | 6                  | 1                   | 0                   | 2                   | 0                   | 2                   | 1                   | 1                   | 0            | 31    |
| OCR - 2 New York      | 0             | 10            | 6             | 4             | 0                  | 3                   | 0                   | 2                   | 2                   | 1                   | 2                   | 5                   | 3            | 38    |
| OCR - 3 Philadelphia  | 0             | 1             | 2             | 1             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 1                   | 0                   | 0            | 5     |
| OCR - 4 Atlanta       | 1             | 15            | 7             | 5             | 2                  | 0                   | 2                   | 1                   | 1                   | 0                   | 2                   | 2                   | 0            | 38    |
| OCR - 5 Chicago       | 2             | 3             | 1             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 6     |
| OCR - 6 Dallas        | 1             | 3             | 4             | 1             | 1                  | 0                   | 0                   | 1                   | 0                   | 0                   | 0                   | 1                   | 0            | 12    |
| OCR - 7 Kansas City   | 0             | 0             | 2             | 2             | 3                  | 1                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 8     |
| OCR - 8 Denver        | 0             | 14            | 4             | 0             | 0                  | 0                   | 1                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 19    |
| OCR - 9 San Francisco | 0             | 6             | 1             | 1             | 1                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 9     |
| OCTAE                 | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| ODS                   | 0             | 0             | 1             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| OESE                  | 0             | 10            | 7             | 4             | 7                  | 2                   | 1                   | 1                   | 4                   | 0                   | 0                   | 0                   | 0            | 36    |

| Agency / Component | <1-20<br>Days | 21-40<br>Days | 41-60<br>Days | 61-80<br>Days | 81-<br>100<br>Days | 101-<br>120<br>Days | 121-<br>140<br>Days | 141-<br>160<br>Days | 161-<br>180<br>Days | 181-<br>200<br>Days | 201-<br>300<br>Days | 301-<br>400<br>Days | 401+<br>Days | TOTAL |
|--------------------|---------------|---------------|---------------|---------------|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|-------|
| OGC                | 0             | 4             | 4             | 0             | 0                  | 1                   | 0                   | 0                   | 0                   | 0                   | 1                   | 0                   | 1            | 11    |
| OIG                | 0             | 1             | 1             | 0             | 0                  | 0                   | 0                   | 0                   | 1                   | 0                   | 0                   | 0                   | 0            | 3     |
| OII                | 0             | 3             | 1             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 4     |
| OLCA               | 0             | 1             | 0             | 1             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 2     |
| OM                 | 0             | 15            | 5             | 4             | 3                  | 2                   | 0                   | 4                   | 0                   | 0                   | 1                   | 4                   | 0            | 38    |
| OM-OCPO            | 0             | 4             | 3             | 1             | 0                  | 1                   | 0                   | 1                   | 1                   | 1                   | 2                   | 0                   | 0            | 14    |
| OPE                | 0             | 16            | 19            | 12            | 5                  | 2                   | 0                   | 2                   | 3                   | 1                   | 2                   | 0                   | 0            | 62    |
| OPEPD              | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OS                 | 0             | 6             | 5             | 4             | 7                  | 6                   | 12                  | 9                   | 5                   | 2                   | 17                  | 5                   | 3            | 81    |
| OSERS              | 0             | 5             | 2             | 0             | 0                  | 1                   | 1                   | 0                   | 0                   | 0                   | 2                   | 0                   | 1            | 12    |
| OUS                | 0             | 0             | 1             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| AGENCY OVERALL     | 4             | 261           | 201           | 128           | 75                 | 73                  | 52                  | 50                  | 43                  | 32                  | 115                 | 61                  | 36           | 1,131 |



### VII.C. RESPONSE TIME IN DAY INCREMENTS: EXPEDITED PROCESSING

| Agency / Component    | <1-20<br>Days | 21-40<br>Days | 41-60<br>Days | 61-80<br>Days | 81-<br>100<br>Days | 101-<br>120<br>Days | 121-<br>140<br>Days | 141-<br>160<br>Days | 161-<br>180<br>Days | 181-<br>200<br>Days | 201-<br>300<br>Days | 301-<br>400<br>Days | 401+<br>Days | TOTAL |
|-----------------------|---------------|---------------|---------------|---------------|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|-------|
| OCFO-CAM              | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| FSA                   | 0             | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| IES                   | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| M                     | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| IES-NAGB              | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCFO                  | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCIO                  | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCO                   | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR                   | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - Metro D.C.      | 0             | 0             | 0             | 0             | 1                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| OCR - 1 Boston        | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 10 Seattle      | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 12 Cleveland    | 1             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| OCR - 2 New York      | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 3 Philadelphia  | 14            | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 14    |
| OCR - 4 Atlanta       | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 5 Chicago       | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 6 Dallas        | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 7 Kansas City   | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 8 Denver        | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCR - 9 San Francisco | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OCTAE                 | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| ODS                   | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OESE                  | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |

| Agency / Component | <1-20<br>Days | 21-40<br>Days | 41-60<br>Days | 61-80<br>Days | 81-<br>100<br>Days | 101-<br>120<br>Days | 121-<br>140<br>Days | 141-<br>160<br>Days | 161-<br>180<br>Days | 181-<br>200<br>Days | 201-<br>300<br>Days | 301-<br>400<br>Days | 401+<br>Days | TOTAL |
|--------------------|---------------|---------------|---------------|---------------|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|-------|
| OGC                | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OIG                | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OII                | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OLCA               | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OM                 | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OM-OCPO            | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OPE                | 1             | 0             | 1             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 2     |
| OPEPD              | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OS                 | 0             | 1             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 1     |
| OSERS              | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| OUS                | 0             | 0             | 0             | 0             | 0                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 0     |
| AGENCY OVERALL     | 16            | 2             | 1             | 0             | 1                  | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0                   | 0            | 20    |

#### VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

| Agency/Component      | Simple Number Pending | Simple Median Number of Days | Simple Average Number of Days | Complex Number Pending | Complex Median Number of Days | Complex Average Number of Days | Expedited Number Pending | Expedited Median Number of Days | Expedited Average Number of Days |
|-----------------------|-----------------------|------------------------------|-------------------------------|------------------------|-------------------------------|--------------------------------|--------------------------|---------------------------------|----------------------------------|
| OCFO-CAM              | 1                     | 13                           | 13                            | 5                      | 13                            | 21                             | 0                        | N/A                             | N/A                              |
| FSA                   | 10                    | 71                           | 134                           | 183                    | 132                           | 177                            | 0                        | N/A                             | N/A                              |
| IES                   | 2                     | 11                           | 11                            | 2                      | 13.5                          | 13                             | 0                        | N/A                             | N/A                              |
| M                     | 9                     | 174                          | 154                           | 141                    | 159                           | 192                            | 0                        | N/A                             | N/A                              |
| IES-NAGB              | 0                     | N/A                          | N/A                           | 0                      | N/A                           | N/A                            | 0                        | N/A                             | N/A                              |
| OCFO                  | 0                     | N/A                          | N/A                           | 1                      | 101                           | 101                            | 0                        | N/A                             | N/A                              |
| OCIO                  | 0                     | N/A                          | N/A                           | 2                      | 197                           | 197                            | 0                        | N/A                             | N/A                              |
| OCO                   | 0                     | N/A                          | N/A                           | 14                     | 76.5                          | 106                            | 0                        | N/A                             | N/A                              |
| OCR                   | 3                     | 95                           | 79                            | 117                    | 191                           | 184                            | 0                        | N/A                             | N/A                              |
| OCR - Metro D.C.      | 8                     | 14                           | 22                            | 11                     | 32                            | 60.73                          | 0                        | N/A                             | N/A                              |
| OCR - 1 Boston        | 29                    | 265                          | 217                           | 15                     | 240                           | 282                            | 0                        | N/A                             | N/A                              |
| OCR - 10 Seattle      | 0                     | N/A                          | N/A                           | 2                      | 4                             | 4                              | 0                        | N/A                             | N/A                              |
| OCR - 12 Cleveland    | 0                     | N/A                          | N/A                           | 1                      | 11                            | 11                             | 0                        | N/A                             | N/A                              |
| OCR - 2 New York      | 34                    | 90.5                         | 117                           | 27                     | 136                           | 200                            | 0                        | N/A                             | N/A                              |
| OCR - 3 Philadelphia  | 0                     | N/A                          | N/A                           | 1                      | 5                             | 5                              | 0                        | N/A                             | N/A                              |
| OCR - 4 Atlanta       | 12                    | 131.5                        | 118                           | 8                      | 79.5                          | 183                            | 0                        | N/A                             | N/A                              |
| OCR - 5 Chicago       | 4                     | 4.5                          | 3                             | 11                     | 3                             | 5                              | 0                        | N/A                             | N/A                              |
| OCR - 6 Dallas        | 0                     | N/A                          | N/A                           | 14                     | 50                            | 61                             | 0                        | N/A                             | N/A                              |
| OCR - 7 Kansas City   | 5                     | 121                          | 106                           | 7                      | 72                            | 131                            | 0                        | N/A                             | N/A                              |
| OCR - 8 Denver        | 6                     | 9                            | 43                            | 4                      | 15                            | 16                             | 0                        | N/A                             | N/A                              |
| OCR - 9 San Francisco | 0                     | N/A                          | N/A                           | 3                      | 17                            | 26                             | 0                        | N/A                             | N/A                              |
| OCTAE                 | 0                     | N/A                          | N/A                           | 0                      | N/A                           | N/A                            | 0                        | N/A                             | N/A                              |
| ODS                   | 0                     | N/A                          | N/A                           | 5                      | 58                            | 61                             | 0                        | N/A                             | N/A                              |

| Agency/Component | Simple<br>Number<br>Pending | Simple<br>Median<br>Number<br>of Days | Simple<br>Average<br>Number<br>of Days | Complex<br>Number<br>Pending | Complex<br>Median<br>Number<br>of Days | Complex<br>Average<br>Number<br>of Days | Expedited<br>Number<br>Pending | Expedited<br>Median<br>Number<br>of Days | Expedited<br>Average<br>Number<br>of Days |
|------------------|-----------------------------|---------------------------------------|--|------------------------------|--|---|--------------------------------|--|---|
| OESE             | 2                           | 148                                   | 148                                    | 27                           | 63                                     | 99                                      | 0                              | N/A                                      | N/A                                       |
| OGC              | 1                           | 205                                   | 205                                    | 21                           | 192                                    | 226                                     | 0                              | N/A                                      | N/A                                       |
| OIG              | 3                           | 381                                   | 298                                    | 3                            | 12                                     | 65                                      | 0                              | N/A                                      | N/A                                       |
| OII              | 0                           | N/A                                   | N/A                                    | 0                            | N/A                                    | N/A                                     | 0                              | N/A                                      | N/A                                       |
| OLCA             | 0                           | N/A                                   | N/A                                    | 2                            | 21                                     | 21                                      | 0                              | N/A                                      | N/A                                       |
| OM               | 1                           | 8                                     | 8                                      | 14                           | 70                                     | 68                                      | 0                              | N/A                                      | N/A                                       |
| OM-OCPO          | 0                           | N/A                                   | N/A                                    | 34                           | 318                                    | 255                                     | 0                              | N/A                                      | N/A                                       |
| OPE              | 0                           | N/A                                   | N/A                                    | 36                           | 106                                    | 99                                      | 0                              | N/A                                      | N/A                                       |
| OPEPD            | 0                           | N/A                                   | N/A                                    | 0                            | N/A                                    | N/A                                     | 0                              | N/A                                      | N/A                                       |
| OS               | 7                           | 170.5                                 | 179                                    | 137                          | 255                                    | 319                                     | 1                              | 79                                       | 79  |
| OSERS            | 0                           | N/A                                   | N/A                                    | 2                            | 133                                    | 133                                     | 0                              | N/A                                      | N/A                                       |
| OUS              | 2                           | 360.5                                 | 360                                    | 22                           | 294.5                                  | 270                                     | 0                              | N/A                                      | N/A                                       |
| AGENCY OVERALL   | 140                         | 102                                   | 137                                    | 872                          | 154                                    | 193                                     | 1                              | 79                                       | 79  |

## VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

| Agency / Component | 10th Oldest Request (in Days) | 9 <sup>th</sup> (in Days) | 8 <sup>th</sup> (in Days) | 7 <sup>th</sup> (in Days) | 6 <sup>th</sup> (in Days) | 5 <sup>th</sup> (in Days) | 4 <sup>th</sup> (in Days) | 3 <sup>rd</sup> (in Days) | 2 <sup>nd</sup> (in Days) | Oldest Request (in Days) |
|--------------------|-------------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|--------------------------|
| OCFO-CAM           | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-28<br>(0)         | 2018-09-11<br>(13)        | 2018-09-11<br>(13)        | 2018-09-11<br>(13)        | 2018-08-28<br>(22)        | 2018-07-03<br>(61)       |
| FSA                | 2017-01-25<br>(423)           | 2017-01-19<br>(427)       | 2017-01-04<br>(437)       | 2016-11-01<br>(479)       | 2016-09-16<br>(510)       | 2016-08-22<br>(528)       | 2016-06-13<br>(577)       | 2016-02-16<br>(660)       | 2014-12-03<br>(960)       | 2014-09-19<br>(1009)     |
| IES                | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-19<br>(7)         | 2018-09-17<br>(9)         | 2018-09-11<br>(13)        | 2018-08-30<br>(20)       |
| M                  | 2017-03-24<br>(382)           | 2017-03-22<br>(384)       | 2017-03-20<br>(386)       | 2017-03-17<br>(387)       | 2017-03-15<br>(389)       | 2017-02-23<br>(403)       | 2016-11-18<br>(467)       | 2016-10-24<br>(485)       | 2016-08-24<br>(526)       | 2016-05-19<br>(593)      |
| IES-NAGB           | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)               |
| OCFO               | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-05-07<br>(101)      |
| OCIO               | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-05-10<br>(98)        | 2017-07-26<br>(296)      |
| OCO                | 2018-07-11<br>(56)            | 2018-06-25<br>(67)        | 2018-06-15<br>(73)        | 2018-06-06<br>(80)        | 2018-04-24<br>(110)       | 2018-04-05<br>(123)       | 2018-03-18<br>(136)       | 2018-03-13<br>(140)       | 2017-10-10<br>(244)       | 2017-03-03<br>(397)      |
| OCR                | 2017-07-07<br>(309)           | 2017-06-16<br>(323)       | 2017-06-07<br>(330)       | 2017-05-31<br>(335)       | 2017-05-08<br>(351)       | 2017-03-22<br>(384)       | 2016-12-06<br>(456)       | 2016-11-28<br>(462)       | 2016-11-02<br>(478)       | 2016-06-09<br>(579)      |
| OCR - Metro D.C.   | 2018-09-10<br>(14)            | 2018-09-10<br>(14)        | 2018-09-10<br>(14)        | 2018-08-14<br>(32)        | 2018-07-27<br>(44)        | 2018-07-17<br>(52)        | 2018-06-12<br>(76)        | 2018-05-01<br>(105)       | 2018-01-24<br>(173)       | 2017-10-27<br>(231)      |
| OCR - 1 Boston     | 2017-02-28<br>(400)           | 2017-02-21<br>(405)       | 2017-02-17<br>(406)       | 2017-01-30<br>(420)       | 2017-01-13<br>(430)       | 2016-12-12<br>(452)       | 2016-11-09<br>(473)       | 2016-11-03<br>(477)       | 2016-10-22<br>(485)       | 2015-11-13<br>(722)      |
| OCR - 10 Seattle   | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-24<br>(4)         | 2018-09-24<br>(4)        |
| OCR - 12 Cleveland | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-13<br>(11)       |

| Agency / Component    | 10th Oldest Request (in Days) | 9 <sup>th</sup> (in Days) | 8 <sup>th</sup> (in Days) | 7 <sup>th</sup> (in Days) | 6 <sup>th</sup> (in Days) | 5 <sup>th</sup> (in Days) | 4 <sup>th</sup> (in Days) | 3 <sup>rd</sup> (in Days) | 2 <sup>nd</sup> (in Days) | Oldest Request (in Days) |
|-----------------------|-------------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|--------------------------|
| OCR - 2 New York      | 2017-09-13<br>(262)           | 2017-08-23<br>(276)       | 2017-08-21<br>(278)       | 2017-08-15<br>(282)       | 2017-06-09<br>(328)       | 2017-01-26<br>(422)       | 2016-11-23<br>(464)       | 2016-07-26<br>(547)       | 2016-06-02<br>(584)       | 2015-10-01<br>(751)      |
| OCR - 3 Philadelphia  | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-21<br>(5)        |
| OCR - 4 Atlanta       | 2018-04-17<br>(115)           | 2018-03-01<br>(148)       | 2018-02-15<br>(157)       | 2018-01-31<br>(168)       | 2018-01-09<br>(183)       | 2017-10-12<br>(242)       | 2017-09-19<br>(258)       | 2017-09-08<br>(265)       | 2017-01-02<br>(438)       | 2016-10-25<br>(484)      |
| OCR - 5 Chicago       | 2018-09-25<br>(3)             | 2018-09-25<br>(3)         | 2018-09-25<br>(3)         | 2018-09-20<br>(6)         | 2018-09-20<br>(6)         | 2018-09-19<br>(7)         | 2018-09-18<br>(8)         | 2018-09-18<br>(8)         | 2018-09-12<br>(12)        | 2018-09-12<br>(12)       |
| OCR - 6 Dallas        | 2018-08-22<br>(26)            | 2018-08-01<br>(41)        | 2018-07-26<br>(45)        | 2018-07-12<br>(55)        | 2018-05-23<br>(89)        | 2018-05-10<br>(98)        | 2018-04-30<br>(106)       | 2018-04-26<br>(108)       | 2018-04-16<br>(116)       | 2018-03-13<br>(140)      |
| OCR - 7 Kansas City   | 2018-07-13<br>(54)            | 2018-07-05<br>(60)        | 2018-06-18<br>(72)        | 2018-05-09<br>(99)        | 2018-04-09<br>(121)       | 2018-03-13<br>(140)       | 2018-01-24<br>(173)       | 2017-12-08<br>(203)       | 2017-10-17<br>(239)       | 2017-08-09<br>(286)      |
| OCR - 8 Denver        | 2018-09-21<br>(5)             | 2018-09-20<br>(6)         | 2018-09-17<br>(9)         | 2018-09-17<br>(9)         | 2018-09-17<br>(9)         | 2018-09-11<br>(13)        | 2018-09-05<br>(17)        | 2018-08-16<br>(30)        | 2018-05-08<br>(100)       | 2018-03-29<br>(128)      |
| OCR - 9 San Francisco | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-21<br>(5)         | 2018-09-05<br>(17)        | 2018-07-10<br>(57)       |
| OCTAE                 | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)               |
| ODS                   | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-08-28<br>(22)        | 2018-08-24<br>(24)        | 2018-07-09<br>(58)        | 2018-06-27<br>(65)        | 2018-03-19<br>(136)      |
| OESE                  | 2018-04-20<br>(112)           | 2018-04-07<br>(121)       | 2018-02-26<br>(151)       | 2018-01-23<br>(174)       | 2017-11-27<br>(212)       | 2017-11-20<br>(216)       | 2017-10-23<br>(235)       | 2017-10-10<br>(244)       | 2017-09-21<br>(256)       | 2017-04-27<br>(358)      |
| OGC                   | 2017-10-24<br>(234)           | 2017-10-06<br>(245)       | 2017-09-12<br>(263)       | 2017-08-21<br>(278)       | 2017-07-26<br>(296)       | 2017-06-23<br>(318)       | 2017-03-07<br>(395)       | 2017-03-03<br>(397)       | 2016-11-09<br>(473)       | 2015-09-18<br>(760)      |
| OIG                   | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-25<br>(3)         | 2018-09-12<br>(12)        | 2018-08-31<br>(19)        | 2018-01-12<br>(180)       | 2017-03-27<br>(381)       | 2016-10-06<br>(496)      |
| OII                   | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-28<br>(0)        |

| Agency / Component | 10th Oldest Request (in Days) | 9 <sup>th</sup> (in Days) | 8 <sup>th</sup> (in Days) | 7 <sup>th</sup> (in Days) | 6 <sup>th</sup> (in Days) | 5 <sup>th</sup> (in Days) | 4 <sup>th</sup> (in Days) | 3 <sup>rd</sup> (in Days) | 2 <sup>nd</sup> (in Days) | Oldest Request (in Days) |
|--------------------|-------------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|--------------------------|
| OLCA               | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-09-28<br>(0)         | 2018-07-31<br>(42)       |
| OM                 | 2018-07-19<br>(50)            | 2018-06-22<br>(68)        | 2018-06-20<br>(70)        | 2018-06-20<br>(70)        | 2018-06-18<br>(72)        | 2018-06-15<br>(73)        | 2018-05-30<br>(85)        | 2018-05-04<br>(102)       | 2018-03-13<br>(140)       | 2018-03-06<br>(145)      |
| OM-OCPO            | 2017-04-21<br>(362)           | 2017-02-28<br>(400)       | 2017-02-24<br>(402)       | 2017-02-24<br>(402)       | 2017-02-21<br>(405)       | 2017-01-27<br>(421)       | 2017-01-27<br>(421)       | 2016-12-12<br>(452)       | 2016-12-12<br>(452)       | 2015-07-22<br>(801)      |
| OPE                | 2018-03-26<br>(131)           | 2018-03-14<br>(139)       | 2018-01-25<br>(172)       | 2017-12-18<br>(197)       | 2017-12-14<br>(199)       | 2017-11-20<br>(216)       | 2017-11-16<br>(218)       | 2017-10-16<br>(240)       | 2017-09-04<br>(268)       | 2017-08-18<br>(279)      |
| OPEPD              | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)               |
| OS                 | 2015-08-26<br>(775)           | 2015-08-13<br>(785)       | 2015-07-23<br>(800)       | 2015-07-22<br>(801)       | 2014-10-02<br>(1000)      | 2014-07-31<br>(1044)      | 2014-05-06<br>(1104)      | 2014-04-25<br>(1111)      | 2014-01-24<br>(1175)      | 2011-01-03<br>(1943)     |
| OSERS              | N/A<br>(0)                    | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | N/A<br>(0)                | 2018-08-13<br>(33)        | 2017-10-25<br>(233)      |
| OUS                | 2017-07-13<br>(305)           | 2017-06-26<br>(317)       | 2017-06-26<br>(317)       | 2017-06-15<br>(324)       | 2017-06-09<br>(328)       | 2017-05-01<br>(356)       | 2017-03-03<br>(397)       | 2016-09-21<br>(507)       | 2016-07-28<br>(545)       | 2016-04-19<br>(615)      |
| AGENCY OVERALL     | 2015-07-22<br>(801)           | 2015-07-22<br>(801)       | 2014-12-02<br>(960)       | 2014-10-02<br>(1000)      | 2014-09-19<br>(1009)      | 2014-07-31<br>(1044)      | 2014-05-06<br>(1104)      | 2014-04-25<br>(1111)      | 2014-01-24<br>(1175)      | 2011-01-03<br>(1943)     |

## REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

### VIII.A. REQUESTS FOR EXPEDITED PROCESSING

| Agency / Component    | Number<br>Granted | Number<br>Denied | Median<br>Number of<br>Days to<br>Adjudicate | Average<br>Number of<br>Days to<br>Adjudicate | Number<br>Adjudicated<br>Within Ten<br>Calendar Days |
|-----------------------|-------------------|------------------|--|---|--|
| OCFO-CAM              | 0                 | 0                | N/A  | N/A   | N/A  |
| FSA                   | 1                 | 7                | 1  | 4.12  | 7  |
| IES                   | 0                 | 0                | N/A  | N/A   | N/A  |
| M                     | 0                 | 6                | 8  | 10.83   | 4  |
| IES-NAGB              | 0                 | 0                | N/A  | N/A   | N/A  |
| OCFO                  | 0                 | 0                | N/A  | N/A   | N/A  |
| OCIO                  | 0                 | 0                | N/A  | N/A   | N/A  |
| OCO                   | 0                 | 3                | 18   | 14.33   | 1  |
| OCR                   | 0                 | 2                | 4.5  | 4.5   | 2  |
| OCR - Metro D.C.      | 1                 | 0                | 1  | 1   | 1  |
| OCR - 1 Boston        | 0                 | 1                | 13   | 13  | 0  |
| OCR - 10 Seattle      | 0                 | 1                | 1  | 1   | 1  |
| OCR - 12 Cleveland    | 1                 | 0                | 1  | 1   | 1  |
| OCR - 2 New York      | 0                 | 1                | 1  | 1   | 1  |
| OCR - 3 Philadelphia  | 14                | 0                | 1  | 1   | 14   |
| OCR - 4 Atlanta       | 0                 | 1                | 21   | 21  | 0  |
| OCR - 5 Chicago       | 0                 | 1                | 1  | 1   | 1  |
| OCR - 6 Dallas        | 0                 | 2                | 4.5  | 4.5   | 2  |
| OCR - 7 Kansas City   | 0                 | 0                | N/A  | N/A   | N/A  |
| OCR - 8 Denver        | 0                 | 1                | 9  | 9   | 1  |
| OCR - 9 San Francisco | 0                 | 1                | 8  | 8   | 1  |
| OCTAE                 | 0                 | 0                | N/A  | N/A   | N/A  |
| ODS                   | 0                 | 0                | N/A  | N/A   | N/A  |
| OESE                  | 0                 | 1                | 8  | 8   | 1  |
| OGC                   | 0                 | 0                | N/A  | N/A   | N/A  |
| OIG                   | 0                 | 1                | 8  | 8   | 1  |
| OII                   | 0                 | 0                | N/A  | N/A   | N/A  |
| OLCA                  | 0                 | 1                | 1  | 1   | 1  |
| OM                    | 0                 | 1                | 15   | 15  | 0  |
| OM-OCPO               | 0                 | 2                | 14.5   | 14.5  | 0  |



| Agency / Component | Number<br>Granted | Number<br>Denied | Median<br>Number of<br>Days to<br>Adjudicate | Average<br>Number of<br>Days to<br>Adjudicate | Number<br>Adjudicated<br>Within Ten<br>Calendar Days |
|--------------------|-------------------|------------------|--|---|--|
| OPE                | 2                 | 2                | 7  | 6.75  | 2  |
| OPEPD              | 0                 | 0                | N/A  | N/A   | N/A  |
| OS                 | 2                 | 11               | 9  | 8.46  | 8  |
| OSERS              | 0                 | 0                | N/A  | N/A   | N/A  |
| OUS                | 0                 | 0                | N/A  | N/A   | N/A  |
| AGENCY OVERALL     | 21                | 46               | 6  | 8.13  | 50   |

### VIII.B. REQUESTS FOR FEE WAIVER

| Agency / Component    | Number<br>Granted | Number<br>Denied | Median<br>Number of<br>Days to<br>Adjudicate | Average<br>Number of<br>Days to<br>Adjudicate |
|-----------------------|-------------------|------------------|--|---|
| OCFO-CAM              | 0                 | 0                | N/A  | N/A   |
| FSA                   | 98                | 17               | 1  | 3.9   |
| IES                   | 2                 | 0                | 9  | 9   |
| M                     | 110               | 15               | 1  | 6.98  |
| IES-NAGB              | 0                 | 0                | N/A  | N/A   |
| OCFO                  | 2                 | 0                | 23   | 23  |
| OCIO                  | 2                 | 0                | 1  | 1   |
| OCO                   | 5                 | 2                | 5  | 11.29   |
| OCR                   | 110               | 6                | 1  | 8.27  |
| OCR - Metro D.C.      | 5                 | 0                | 1  | 8.6   |
| OCR - 1 Boston        | 4                 | 2                | 1  | 1.5   |
| OCR - 10 Seattle      | 3                 | 0                | 1  | 1   |
| OCR - 12 Cleveland    | 3                 | 0                | 1  | 1   |
| OCR - 2 New York      | 8                 | 0                | 1  | 5.38  |
| OCR - 3 Philadelphia  | 2                 | 0                | 1  | 1   |
| OCR - 4 Atlanta       | 1                 | 0                | 1  | 1   |
| OCR - 5 Chicago       | 10                | 1                | 1  | 1   |
| OCR - 6 Dallas        | 1                 | 2                | 1  | 1   |
| OCR - 7 Kansas City   | 5                 | 0                | 1  | 2.2   |
| OCR - 8 Denver        | 3                 | 6                | 9  | 22.22   |
| OCR - 9 San Francisco | 1                 | 0                | 1  | 1   |
| OCTAE                 | 0                 | 0                | N/A  | N/A   |
| ODS                   | 1                 | 1                | 1  | 1   |
| OESE                  | 11                | 3                | 1  | 6.79  |
| OGC                   | 13                | 4                | 1  | 2.71  |
| OIG                   | 6                 | 0                | 1  | 1   |
| OII                   | 1                 | 0                | 1  | 1   |
| OLCA                  | 3                 | 0                | 1  | 1   |
| OM                    | 18                | 2                | 1  | 1   |
| OM-OCPO               | 13                | 2                | 1  | 4   |
| OPE                   | 24                | 10               | 1  | 1.12  |
| OPEPD                 | 0                 | 0                | N/A  | N/A   |

| Agency / Component | Number<br>Granted | Number<br>Denied | Median<br>Number of<br>Days to<br>Adjudicate | Average<br>Number of<br>Days to<br>Adjudicate |
|--------------------|-------------------|------------------|--|---|
| OS                 | 49                | 8                | 1  | 8.63  |
| OSERS              | 2                 | 5                | 1  | 8.14  |
| OUS                | 3                 | 0                | 1  | 1   |
| AGENCY OVERALL     | 519               | 86               | 1  | 5.82  |

## IX. FOIA PERSONNEL AND COSTS

| Agency / Component    | Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs  |
|-----------------------|--------------------------------------|---|--|------------------|--------------------------|--------------|
| OCFO-CAM              | 0                                    | 1   | 1                                      | \$69,996.00      | \$0.00                   | \$69,996.00  |
| FSA                   | 3                                    | 0.5   | 3.5                                    | \$515,386.49     | \$0.00                   | \$515,386.49 |
| IES                   | 0                                    | 0.2   | 0.2                                    | \$30,000.00      | \$0.00                   | \$30,000.00  |
| M                     | 0                                    | 0   | 0                                      | \$0.00           | \$0.00                   | \$0.00       |
| IES-NAGB              | 0                                    | 0.1   | 0.1                                    | \$1,067.00       | \$0.00                   | \$1,067.00   |
| OCFO                  | 0                                    | 0.2   | 0.2                                    | \$1,103.00       | \$0.00                   | \$1,103.00   |
| OCIO                  | 0                                    | 0.2   | 0.2                                    | \$219,569.00     | \$0.00                   | \$219,569.00 |
| OCO                   | 0                                    | 0.4   | 0.4                                    | \$49,167.00      | \$0.00                   | \$49,167.00  |
| OCR                   | 0                                    | 7.3   | 7.3                                    | \$698,400.00     | \$0.00                   | \$698,400.00 |
| OCR - Metro D.C.      | 1                                    | 2.5   | 3.5                                    | \$380,456.50     | \$0.00                   | \$380,456.50 |
| OCR - 1 Boston        | 0                                    | 3.8   | 3.8                                    | \$334,500.00     | \$0.00                   | \$334,500.00 |
| OCR - 10 Seattle      | 0                                    | 0.41  | 0.41                                   | \$49,975.32      | \$0.00                   | \$49,975.32  |
| OCR - 12 Cleveland    | 0                                    | 1.23  | 1.23                                   | \$134,861.97     | \$0.00                   | \$134,861.97 |
| OCR - 2 New York      | 0                                    | 3.2   | 3.2                                    | \$451,459.00     | \$0.00                   | \$451,459.00 |
| OCR - 3 Philadelphia  | 0                                    | 0.65  | 0.65                                   | \$72,338.95      | \$0.00                   | \$72,338.95  |
| OCR - 4 Atlanta       | 0                                    | 2   | 2                                      | \$198,641.00     | \$0.00                   | \$198,641.00 |
| OCR - 5 Chicago       | 0                                    | 2.7   | 2.7                                    | \$279,851.85     | \$0.00                   | \$279,851.85 |
| OCR - 6 Dallas        | 0                                    | 1   | 1                                      | \$124,489.00     | \$0.00                   | \$124,489.00 |
| OCR - 7 Kansas City   | 0                                    | 0.75  | 0.75                                   | \$85,000.00      | \$0.00                   | \$85,000.00  |
| OCR - 8 Denver        | 0                                    | 2.5   | 2.5                                    | \$233,948.95     | \$0.00                   | \$233,948.95 |
| OCR - 9 San Francisco | 0                                    | 0.65  | 0.65                                   | \$90,000.00      | \$0.00                   | \$90,000.00  |
| OCTAE                 | 0                                    | 0.75  | 0.75                                   | \$11,172.00      | \$0.00                   | \$11,172.00  |

| Agency / Component | Number of<br>"Full-Time<br>FOIA<br>Employees" | Number of<br>"Equivalent<br>Full-Time<br>FOIA<br>Employees" | Total Number<br>of "Full-Time<br>FOIA Staff" | Processing<br>Costs | Litigation-<br>Related Costs | Total Costs    |
|--------------------|---|---|--|---------------------|------------------------------|----------------|
| ODS                | 0   | 0.2   | 0.2  | \$22,437.00         | \$0.00                       | \$22,437.00    |
| OESE               | 1   | 1.26  | 2.26   | \$244,235.18        | \$0.00                       | \$244,235.18   |
| OGC                | 0   | 2.2   | 2.2  | \$80,873.00         | \$273,345.00                 | \$354,218.00   |
| OIG                | 1   | 0   | 1  | \$103,290.00        | \$0.00                       | \$103,290.00   |
| OII                | 0   | 0.3   | 0.3  | \$33,951.95         | \$0.00                       | \$33,951.95    |
| OLCA               | 0   | 0.5   | 0.5  | \$33,255.00         | \$0.00                       | \$33,255.00    |
| OM                 | 0   | 0.8   | 0.8  | \$82,246.00         | \$0.00                       | \$82,246.00    |
| OM-OCPO            | 6   | 0   | 6  | \$831,173.00        | \$0.00                       | \$831,173.00   |
| OPE                | 0   | 3.79  | 3.79   | \$1,507,089.00      | \$0.00                       | \$1,507,089.00 |
| OPEPD              | 0   | 0.7   | 0.7  | \$65,017.00         | \$0.00                       | \$65,017.00    |
| OS                 | 0   | 2.17  | 2.17   | \$315,015.67        | \$0.00                       | \$315,015.67   |
| OSERS              | 0   | 1.9   | 1.9  | \$118,291.00        | \$0.00                       | \$118,291.00   |
| OUS                | 0   | 0.1   | 0.1  | \$7,432.00          | \$0.00                       | \$7,432.00     |
| AGENCY OVERALL     | 12  | 45.96   | 57.96  | \$7,475,688.83      | \$273,345.00                 | \$7,749,033.83 |

## X. FEES COLLECTED FOR PROCESSING REQUESTS

| Agency / Component    | Total Amount of Fees Collected | Percentage of Total Costs |
|-----------------------|--------------------------------|---------------------------|
| OCFO-CAM              | \$340.65                       | 0.49%                     |
| FSA                   | \$1,467.11                     | 0.28%                     |
| IES                   | \$0.00                         | 0.00%                     |
| M                     | \$0.00                         | 0.00%                     |
| IES-NAGB              | \$0.00                         | 0.00%                     |
| OCFO                  | \$0.00                         | 0.00%                     |
| OCIO                  | \$0.00                         | 0.00%                     |
| OCO                   | \$0.00                         | 0.00%                     |
| OCR                   | \$238.66                       | 0.03%                     |
| OCR - Metro D.C.      | \$0.00                         | 0.00%                     |
| OCR - 1 Boston        | \$0.00                         | 0.00%                     |
| OCR - 10 Seattle      | \$0.00                         | 0.00%                     |
| OCR - 12 Cleveland    | \$0.00                         | 0.00%                     |
| OCR - 2 New York      | \$0.00                         | 0.00%                     |
| OCR - 3 Philadelphia  | \$44.80                        | 0.06%                     |
| OCR - 4 Atlanta       | \$0.00                         | 0.00%                     |
| OCR - 5 Chicago       | \$0.00                         | 0.00%                     |
| OCR - 6 Dallas        | \$0.00                         | 0.00%                     |
| OCR - 7 Kansas City   | \$0.00                         | 0.00%                     |
| OCR - 8 Denver        | \$0.00                         | 0.00%                     |
| OCR - 9 San Francisco | \$0.00                         | 0.00%                     |
| OCTAE                 | \$0.00                         | 0.00%                     |
| ODS                   | \$0.00                         | 0.00%                     |
| OESE                  | \$0.00                         | 0.00%                     |
| OGC                   | \$0.00                         | 0.00%                     |
| OIG                   | \$0.00                         | 0.00%                     |
| OII                   | \$42.02                        | 0.12%                     |
| OLCA                  | \$0.00                         | 0.00%                     |
| OM                    | \$0.00                         | 0.00%                     |
| OM-OCPO               | \$0.00                         | 0.00%                     |
| OPE                   | \$806.85                       | 0.05%                     |
| OPEPD                 | \$0.00                         | 0.00%                     |

| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
|--------------------|--------------------------------|---------------------------|
| OS                 | \$52.98                        | 0.02%                     |
| OSERS              | \$234.14                       | 0.20%                     |
| OUS                | \$0.00                         | 0.00%                     |
| AGENCY OVERALL     | \$3,227.21                     | 0.04%                     |

## XI.A. NUMBER OF TIMES SUBSECTION (C) USED

| Agency / Component    | Number of Times Subsection Used |
|-----------------------|---------------------------------|
| OCFO-CAM              | 0                               |
| FSA                   | 0                               |
| IES                   | 0                               |
| M                     | 0                               |
| IES-NAGB              | 0                               |
| OCFO                  | 0                               |
| OCIO                  | 0                               |
| OCO                   | 0                               |
| OCR                   | 0                               |
| OCR - Metro D.C.      | 0                               |
| OCR - 1 Boston        | 0                               |
| OCR - 10 Seattle      | 0                               |
| OCR - 12 Cleveland    | 0                               |
| OCR - 2 New York      | 0                               |
| OCR - 3 Philadelphia  | 0                               |
| OCR - 4 Atlanta       | 0                               |
| OCR - 5 Chicago       | 0                               |
| OCR - 6 Dallas        | 0                               |
| OCR - 7 Kansas City   | 0                               |
| OCR - 8 Denver        | 0                               |
| OCR - 9 San Francisco | 0                               |
| OCTAE                 | 0                               |
| ODS                   | 0                               |
| OESE                  | 0                               |
| OGC                   | 0                               |
| OIG                   | 0                               |
| OII                   | 0                               |
| OLCA                  | 0                               |
| OM                    | 0                               |
| OM-OCPO               | 0                               |
| OPE                   | 0                               |
| OPEPD                 | 0                               |
| OS                    | 0                               |



| Agency / Component | Number of Times Subsection Used |
|--------------------|---------------------------------|
| OSERS              | 0                               |
| OUS                | 0                               |
| AGENCY OVERALL     | 0                               |

## XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

| Agency / Component    | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
|-----------------------|---|---|
| OCFO-CAM              | 0   | 0   |
| FSA                   | 125   | 0   |
| IES                   | 0   | 0   |
| M                     | 0   | 0   |
| IES-NAGB              | 0   | 0   |
| OCFO                  | 0   | 0   |
| OCIO                  | 0   | 0   |
| OCO                   | 0   | 0   |
| OCR                   | 4,502                                       | 0   |
| OCR - Metro D.C.      | 0   | 0   |
| OCR - 1 Boston        | 0   | 0   |
| OCR - 10 Seattle      | 0   | 0   |
| OCR - 12 Cleveland    | 0   | 0   |
| OCR - 2 New York      | 0   | 0   |
| OCR - 3 Philadelphia  | 0   | 0   |
| OCR - 4 Atlanta       | 0   | 0   |
| OCR - 5 Chicago       | 0   | 0   |
| OCR - 6 Dallas        | 0   | 0   |
| OCR - 7 Kansas City   | 0   | 0   |
| OCR - 8 Denver        | 0   | 0   |
| OCR - 9 San Francisco | 0   | 0   |
| OCTAE                 | 0   | 0   |
| ODS                   | 2   | 0   |
| OESE                  | 62  | 0   |
| OGC                   | 0   | 0   |
| OIG                   | 55  | 0   |
| OII                   | 162   | 0   |
| OLCA                  | 0   | 0   |
| OM                    | 1   | 0   |
| OM-OCPO               | 2   | 0   |
| OPE                   | 0   | 0   |
| OPEPD                 | 17  | 0   |

| Agency / Component | Number of Records<br>Posted by the FOIA<br>Office | Number of Records<br>Posted by Program<br>Offices |
|--------------------|---|---|
| OS                 | 0   | 0   |
| OSERS              | 1,166   | 0   |
| OUS                | 0   | 0   |
| AGENCY OVERALL     | 6,094   | 0   |

## XII.A. BACKLOGS OF FOIA/PA REQUESTS AND ADMINISTRATIVE APPEALS

| Agency / Component    | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
|-----------------------|--|---|
| OCFO-CAM              | 2  | 2   |
| FSA                   | 170  | 27  |
| IES                   | 0  | 0   |
| M                     | 141  | 3   |
| IES-NAGB              | 0  | 0   |
| OCFO                  | 1  | 0   |
| OCIO                  | 2  | 0   |
| OCO                   | 12   | 0   |
| OCR                   | 111  | 9   |
| OCR - Metro D.C.      | 8  | 1   |
| OCR - 1 Boston        | 42   | 0   |
| OCR - 10 Seattle      | 0  | 1   |
| OCR - 12 Cleveland    | 0  | 0   |
| OCR - 2 New York      | 48   | 1   |
| OCR - 3 Philadelphia  | 0  | 1   |
| OCR - 4 Atlanta       | 16   | 4   |
| OCR - 5 Chicago       | 0  | 4   |
| OCR - 6 Dallas        | 8  | 1   |
| OCR - 7 Kansas City   | 10   | 0   |
| OCR - 8 Denver        | 2  | 1   |
| OCR - 9 San Francisco | 1  | 1   |
| OCTAE                 | 0  | 0   |
| ODS                   | 5  | 0   |
| OESE                  | 24   | 3   |
| OGC                   | 20   | 4   |
| OIG                   | 3  | 1   |
| OII                   | 0  | 0   |
| OLCA                  | 1  | 0   |
| OM                    | 11   | 1   |
| OM-OCPO               | 28   | 0   |
| OPE                   | 26   | 0   |
| OPEPD                 | 0  | 0   |

| Agency / Component | Number of<br>Backlogged<br>Requests as of End<br>of Fiscal Year | Number of<br>Backlogged Appeals<br>as of End of Fiscal<br>Year |
|--------------------|---|--|
| OS                 | 141   | 3  |
| OSERS              | 2   | 0  |
| OUS                | 22  | 0  |
| AGENCY OVERALL     | 857   | 68   |

## BACKLOGS, CONSULTATIONS, AND COMPARISONS

### XII.B. CONSULTATIONS ON REQUESTS -- RECEIVED, PROCESSED, AND PENDING

| Agency / Component    | Number of Consultations Received from Other Agencies that were Pending at the Agency as of <u>Start</u> of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of <u>End</u> of the Fiscal Year |
|-----------------------|--|---|--|--|
| OCFO-CAM              | 0  | 0   | 0  | 0  |
| FSA                   | 0  | 0   | 0  | 0  |
| IES                   | 0  | 0   | 0  | 0  |
| M                     | 0  | 0   | 0  | 0  |
| IES-NAGB              | 0  | 0   | 0  | 0  |
| OCFO                  | 0  | 0   | 0  | 0  |
| OCIO                  | 0  | 0   | 0  | 0  |
| OCO                   | 0  | 0   | 0  | 0  |
| OCR                   | 0  | 0   | 0  | 0  |
| OCR - Metro D.C.      | 0  | 0   | 0  | 0  |
| OCR - 1 Boston        | 0  | 0   | 0  | 0  |
| OCR - 10 Seattle      | 0  | 0   | 0  | 0  |
| OCR - 12 Cleveland    | 0  | 0   | 0  | 0  |
| OCR - 2 New York      | 0  | 0   | 0  | 0  |
| OCR - 3 Philadelphia  | 0  | 0   | 0  | 0  |
| OCR - 4 Atlanta       | 0  | 0   | 0  | 0  |
| OCR - 5 Chicago       | 0  | 0   | 0  | 0  |
| OCR - 6 Dallas        | 0  | 0   | 0  | 0  |
| OCR - 7 Kansas City   | 0  | 0   | 0  | 0  |
| OCR - 8 Denver        | 0  | 0   | 0  | 0  |
| OCR - 9 San Francisco | 0  | 0   | 0  | 0  |
| OCTAE                 | 0  | 0   | 0  | 0  |
| ODS                   | 0  | 0   | 0  | 0  |
| OESE                  | 0  | 0   | 0  | 0  |
| OGC                   | 0  | 0   | 0  | 0  |
| OIG                   | 0  | 0   | 0  | 0  |
| OII                   | 0  | 0   | 0  | 0  |
| OLCA                  | 0  | 0   | 0  | 0  |

| Agency / Component | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year | Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year |
|--------------------|---|--|--|---|
| OM                 | 1   | 0  | 0  | 1   |
| OM-OCPO            | 0   | 0  | 0  | 0   |
| OPE                | 0   | 0  | 0  | 0   |
| OPEPD              | 0   | 0  | 0  | 0   |
| OS                 | 0   | 0  | 0  | 0   |
| OSERS              | 0   | 0  | 0  | 0   |
| OUS                | 0   | 0  | 0  | 0   |
| AGENCY OVERALL     | 1   | 0  | 0  | 1   |

## XII.C. CONSULTATIONS ON REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED AND PENDING

| Agency / Component | 10th Oldest Consultation<br>(in Days) | 9 <sup>th</sup><br>(in Days) | 8 <sup>th</sup><br>(in Days) | 7 <sup>th</sup><br>(in Days) | 6 <sup>th</sup><br>(in Days) | 5 <sup>th</sup><br>(in Days) | 4 <sup>th</sup><br>(in Days) | 3 <sup>rd</sup><br>(in Days) | 2 <sup>nd</sup><br>(in Days) | Oldest Consultation<br>(in Days) |
|--------------------|---------------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|----------------------------------|
| OCFO-CAM           | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| FSA                | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| IES                | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| M                  | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| IES-NAGB           | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCFO               | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCIO               | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCO                | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR                | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - Metro D.C.   | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 1 Boston     | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 10 Seattle   | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |



| Agency / Component    | 10th Oldest Consultation<br>(in Days) | 9 <sup>th</sup><br>(in Days) | 8 <sup>th</sup><br>(in Days) | 7 <sup>th</sup><br>(in Days) | 6 <sup>th</sup><br>(in Days) | 5 <sup>th</sup><br>(in Days) | 4 <sup>th</sup><br>(in Days) | 3 <sup>rd</sup><br>(in Days) | 2 <sup>nd</sup><br>(in Days) | Oldest Consultation<br>(in Days) |
|-----------------------|---------------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|----------------------------------|
| OCR - 12 Cleveland    | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 2 New York      | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 3 Philadelphia  | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 4 Atlanta       | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 5 Chicago       | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 6 Dallas        | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 7 Kansas City   | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 8 Denver        | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCR - 9 San Francisco | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OCTAE                 | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| ODS                   | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OESE                  | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OGC                   | N/A                                   | N/A                          | N/A                          | N/A                          | N/A                          | N/A                          | N/A                          | N/A                          | N/A                          | N/A                              |

| Agency / Component | 10th Oldest Consultation<br>(in Days) | 9 <sup>th</sup><br>(in Days) | 8 <sup>th</sup><br>(in Days) | 7 <sup>th</sup><br>(in Days) | 6 <sup>th</sup><br>(in Days) | 5 <sup>th</sup><br>(in Days) | 4 <sup>th</sup><br>(in Days) | 3 <sup>rd</sup><br>(in Days) | 2 <sup>nd</sup><br>(in Days) | Oldest Consultation<br>(in Days) |
|--------------------|---------------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|----------------------------------|
|                    | (0)                                   | (0)                          | (0)                          | (0)                          | (0)                          | (0)                          | (0)                          | (0)                          | (0)                          | (0)                              |
| OIG                | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OII                | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OLCA               | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OM                 | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | 2017-08-23<br>(276)              |
| OM-OCPO            | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OPE                | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OPEPD              | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OS                 | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OSERS              | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| OUS                | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                       |
| AGENCY OVERALL     | N/A<br>(0)                            | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | N/A<br>(0)                   | 2017-08-23<br>(276)              |

XII.D.(1). COMPARISON OF PREVIOUS AND CURRENT ANNUAL REPORT -- RECEIVED AND PROCESSED

| AGENCY/COMPONENT      | Number Received<br>During Fiscal Year<br>from Last Year's<br>Annual Report | Number Received<br>During Fiscal Year<br>from Current Annual<br>Report | Number Processed<br>During Fiscal Year<br>from Last Year's<br>Annual Report | Number Processed<br>During Fiscal Year<br>from Current Annual<br>Report |
|-----------------------|--|--|---|---|
| OCFO-CAM              | 44   | 23   | 47  | 21  |
| FSA                   | 286  | 291  | 252   | 234   |
| IES                   | 31   | 41   | 33  | 39  |
| M                     | 237  | 219  | 178   | 204   |
| IES-NAGB              | 1  | 1  | 1   | 1   |
| OCFO                  | 6  | 8  | 3   | 10  |
| OCIO                  | 6  | 6  | 4   | 7   |
| OCO                   | 26   | 24   | 19  | 21  |
| OCR                   | 266  | 260  | 206   | 239   |
| OCR - Metro D.C.      | 81   | 125  | 68  | 128   |
| OCR - 1 Boston        | 180  | 123  | 126   | 163   |
| OCR - 10 Seattle      | 82   | 98   | 80  | 100   |
| OCR - 12 Cleveland    | 53   | 53   | 47  | 71  |
| OCR - 2 New York      | 189  | 197  | 218   | 177   |
| OCR - 3 Philadelphia  | 117  | 96   | 116   | 97  |
| OCR - 4 Atlanta       | 85   | 101  | 87  | 100   |
| OCR - 5 Chicago       | 181  | 157  | 173   | 161   |
| OCR - 6 Dallas        | 96   | 100  | 94  | 91  |
| OCR - 7 Kansas City   | 36   | 61   | 38  | 51  |
| OCR - 8 Denver        | 58   | 89   | 54  | 86  |
| OCR - 9 San Francisco | 78   | 123  | 78  | 121   |
| OCTAE                 | 2  | 1  | 2   | 1   |
| ODS                   | 3  | 7  | 5   | 2   |
| OESE                  | 57   | 78   | 52  | 56  |
| OGC                   | 27   | 37   | 19  | 27  |
| OIG                   | 51   | 53   | 49  | 51  |
| OII                   | 17   | 16   | 18  | 16  |
| OLCA                  | 5  | 9  | 7   | 7   |
| OM                    | 98   | 87   | 99  | 81  |
| OM-OCPO               | 180  | 255  | 159   | 245   |
| OPE                   | 139  | 132  | 148   | 104   |

| AGENCY/COMPONENT | Number Received<br>During Fiscal Year<br>from Last Year's<br>Annual Report | Number Received<br>During Fiscal Year<br>from Current Annual<br>Report | Number Processed<br>During Fiscal Year<br>from Last Year's<br>Annual Report | Number Processed<br>During Fiscal Year<br>from Current Annual<br>Report |
|------------------|--|--|---|---|
| OPEPD            | 2  | 0  | 5   | 0   |
| OS               | 149  | 130  | 55  | 111   |
| OSERS            | 30   | 30   | 29  | 34  |
| OUS              | 16   | 10   | 5   | 1   |
| AGENCY OVERALL   | 2,915  | 3,041  | 2,574   | 2,858   |

*The discrepancy in the number of requests received is due to how cases are tracked within our case management system. Example: a request initially assigned to Office "A" in FY17 is later assigned to Office "B" would show as a pending case for Office "A" at the end of FY17, but when Office "B" closes the case in FY18, the report will show Office "B" as the pending office at the start of FY17 rather than Office "A".*

## XII.D.(2). COMPARISON OF PREVIOUS AND CURRENT ANNUAL REPORT – BACKLOG

| Agency / Component    | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
|-----------------------|--|---|
| OCFO-CAM              | 2  | 2   |
| FSA                   | 108  | 170   |
| IES                   | 2  | 0   |
| M                     | 107  | 141   |
| IES-NAGB              | 0  | 0   |
| OCFO                  | 2  | 1   |
| OCIO                  | 3  | 2   |
| OCO                   | 11   | 12  |
| OCR                   | 58   | 111   |
| OCR - Metro D.C.      | 16   | 8   |
| OCR - 1 Boston        | 72   | 42  |
| OCR - 10 Seattle      | 0  | 0   |
| OCR - 12 Cleveland    | 16   | 0   |
| OCR - 2 New York      | 22   | 48  |
| OCR - 3 Philadelphia  | 1  | 0   |
| OCR - 4 Atlanta       | 11   | 16  |
| OCR - 5 Chicago       | 1  | 0   |
| OCR - 6 Dallas        | 1  | 8   |
| OCR - 7 Kansas City   | 4  | 10  |
| OCR - 8 Denver        | 0  | 2   |
| OCR - 9 San Francisco | 0  | 1   |
| OCTAE                 | 0  | 0   |
| ODS                   | 0  | 5   |
| OESE                  | 5  | 24  |
| OGC                   | 8  | 20  |
| OIG                   | 3  | 3   |
| OII                   | 0  | 0   |
| OLCA                  | 0  | 1   |
| OM                    | 7  | 11  |
| OM-OCPO               | 20   | 28  |
| OPE                   | 5  | 26  |
| OPEPD                 | 0  | 0   |

| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
|--------------------|--|---|
| OS                 | 112  | 141   |
| OSERS              | 4  | 2   |
| OUS                | 14   | 22  |
| AGENCY OVERALL     | 615  | 857   |

*After reviewing its database, ED adjusted the number of backlogged requests as of the end of the fiscal year.*

*The discrepancy in the number of backlogged requests pending in FY17 is due to a program error in the case management system.*

## XII.E.(1). COMPARISON OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT

| AGENCY/COMPONENT      | Number Received<br>During Fiscal Year<br>from Last Year's<br>Annual Report | Number Received<br>During Fiscal Year<br>from Current Annual<br>Report | Number Processed<br>During Fiscal Year<br>from Last Year's<br>Annual Report | Number Processed<br>During Fiscal Year<br>from Current Annual<br>Report |
|-----------------------|--|--|---|---|
| OCFO-CAM              | 2  | 0  | 1   | 0   |
| FSA                   | 15   | 9  | 9   | 7   |
| IES                   | 0  | 0  | 1   | 0   |
| M                     | 4  | 4  | 6   | 4   |
| IES-NAGB              | 0  | 0  | 0   | 0   |
| OCFO                  | 0  | 0  | 0   | 0   |
| OCIO                  | 0  | 1  | 0   | 1   |
| OCO                   | 1  | 0  | 1   | 0   |
| OCR                   | 8  | 12   | 8   | 3   |
| OCR - Metro D.C.      | 0  | 3  | 0   | 3   |
| OCR - 1 Boston        | 0  | 1  | 0   | 1   |
| OCR - 10 Seattle      | 0  | 2  | 0   | 1   |
| OCR - 12 Cleveland    | 0  | 2  | 0   | 2   |
| OCR - 2 New York      | 2  | 3  | 2   | 3   |
| OCR - 3 Philadelphia  | 5  | 1  | 4   | 1   |
| OCR - 4 Atlanta       | 7  | 4  | 1   | 5   |
| OCR - 5 Chicago       | 4  | 3  | 3   | 2   |
| OCR - 6 Dallas        | 1  | 1  | 0   | 1   |
| OCR - 7 Kansas City   | 2  | 0  | 1   | 1   |
| OCR - 8 Denver        | 0  | 2  | 0   | 0   |
| OCR - 9 San Francisco | 0  | 1  | 1   | 0   |
| OCTAE                 | 0  | 0  | 0   | 0   |
| ODS                   | 0  | 0  | 1   | 0   |

| AGENCY/COMPONENT | Number Received<br>During Fiscal Year<br>from Last Year's<br>Annual Report | Number Received<br>During Fiscal Year<br>from Current Annual<br>Report | Number Processed<br>During Fiscal Year<br>from Last Year's<br>Annual Report | Number Processed<br>During Fiscal Year<br>from Current Annual<br>Report |
|------------------|--|--|---|---|
| OESE             | 4  | 1  | 4   | 0   |
| OGC              | 1  | 7  | 1   | 3   |
| OIG              | 0  | 2  | 1   | 1   |
| OII              | 0  | 0  | 0   | 1   |
| OLCA             | 0  | 0  | 0   | 0   |
| OM               | 1  | 3  | 0   | 3   |
| OM-OCPO          | 4  | 3  | 3   | 4   |
| OPE              | 0  | 0  | 0   | 0   |
| OPEPD            | 0  | 0  | 1   | 0   |
| OS               | 3  | 4  | 0   | 4   |
| OSERS            | 0  | 2  | 0   | 2   |
| OUS              | 1  | 0  | 1   | 0   |
| AGENCY OVERALL   | 65   | 71   | 50  | 53  |

*The discrepancy in the number of received appeals in beginning of fiscal year for the components is due to how cases are tracked within our case management system. Example: an appeal initially assigned to Office "A" in FY17 is later assigned to Office "B" would show as a pending case for Office "A" at the end of FY17, but when Office "B" closes the case in FY18, the report will show Office "B" as the pending office at the start of FY17 rather than Office "A".*



**XII.E.(2). COMPARISON OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOG**

| Agency / Component    | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
|-----------------------|---|--|
| OCFO-CAM              | 2   | 2  |
| FSA                   | 22  | 27   |
| IES                   | 0   | 0  |
| M                     | 4   | 3  |
| IES-NAGB              | 0   | 0  |
| OCFO                  | 0   | 0  |
| OCIO                  | 0   | 0  |
| OCO                   | 0   | 0  |
| OCR                   | 1   | 9  |
| OCR - Metro D.C.      | 1   | 1  |
| OCR - 1 Boston        | 0   | 0  |
| OCR - 10 Seattle      | 0   | 1  |
| OCR - 12 Cleveland    | 0   | 0  |
| OCR - 2 New York      | 1   | 1  |
| OCR - 3 Philadelphia  | 1   | 1  |
| OCR - 4 Atlanta       | 5   | 4  |
| OCR - 5 Chicago       | 2   | 4  |
| OCR - 6 Dallas        | 1   | 1  |
| OCR - 7 Kansas City   | 1   | 0  |
| OCR - 8 Denver        | 0   | 1  |
| OCR - 9 San Francisco | 0   | 1  |
| OCTAE                 | 0   | 0  |
| ODS                   | 0   | 0  |
| OESE                  | 2   | 3  |
| OGC                   | 2   | 4  |
| OIG                   | 0   | 1  |
| OII                   | 1   | 0  |
| OLCA                  | 0   | 0  |
| OM                    | 0   | 1  |
| OM-OCPO               | 0   | 0  |

| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
|--------------------|---|--|
| OPE                | 0   | 0  |
| OPEPD              | 0   | 0  |
| OS                 | 3   | 3  |
| OSERS              | 0   | 0  |
| OUS                | 0   | 0  |
| AGENCY OVERALL     | 49  | 68   |

*The discrepancy in the number of backlog appeals pending in FY17 is due to how cases are tracked within our case management system. Example: an appeal received initially to Office "A" in FY17 is latter assigned to Office "B" would show as a backlog for Office "A" at the end of Fy17, but when Office "B" closes the case in FY18, the report will show Office "B" as the backlog office at the start of FY18 rather than Office "A."*